

Division of Facilities Construction and Management

MULTI-STEP BIDDING PROCESS FOR CONTRACTORS

Request For Solicitation For Construction Services

Stage II – Mechanical Contractors Bidders List FY09

January 29, 2009

ROOFTOP AHU REPLACEMENT DEPARTMENT OF WORKFORCE SERVICES

MIDVALE, UTAH

DFCM Project No. 08072920

WHW Engineering 8619 South Sandy Parkway #101 Sandy, Utah 84070

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Current copies of the following documents are hereby made part of these contract documents by reference. These documents are available on the DFCM web site at http://dfcm.utah.gov or are available upon request from DFCM:

DFCM Supplemental General Conditions dated July 15, 2008 DFCM General Conditions dated May 25, 2005 DFCM Application and Certificate for Payment dated May 25, 2005

Technical Specifications: Drawings:

The Agreement and General Conditions dated May 25, 2005 have been updated from versions that were formally adopted and in use prior to this date. The changes made to the General Conditions are identified in a document entitled Revisions to General Conditions that is available on DFCM's web site at http://dfcm.utah.gov

INVITATION TO BID

ONLY FIRMS PRE-QUALIFIED DURING STAGE I OF THE RFS ARE ALLOWED TO BID ON THIS PROJECT

The State of Utah - Division of Facilities Construction and Management (DFCM) is requesting bids for the construction of the following project:

Rooftop AHU Replacement - Department of Workforce Services - Midvale, Utah

DFCM Project No: 08072920

Project Description: Project required the replacement of 8 packaged rooftop units, installing 1 safety rail

and replacing 1 split system.

Construction Cost Estimate: \$ 100,000.00

Company	Contact	Fax
Ben Lomond Mechanical	Mr. Jeff Dalton	(801) 731-7844
Commercial Mechanical Sys & Srv	Mr. Norman J. Cole	(801) 977-3928
Envision Mechanical, Inc.	Mr. Ray Squier	(801) 731-8070
Harris Air Systems, Inc.	Mr. Omar Nava	(801) 467-6524
Harris Companies	Mr. Frank Dorhofer	(801) 433-2641
KOH Mechanical Contractors	Mr. Larry Hansen	(801) 254-6374
Mechanical Service & Systems, Inc.	Mr. Randy Karren	(801) 561-4673
Ralph Tye and Sons, Inc.	Mr. Doug Tye	(801) 262-1391
Rocky Mountain Mechanical	Mr. Jeff Larsen	(801) 467-1460
S.R. Mechanical, Inc.	Mr. Steven Roberts	(435) 529-7851
Tod R. Packer Heating & Air	Mr. Todd R. Packer	(801) 849-1314

The bid documents will be available on **Thursday, January 29, 2009** in electronic format only on CDs from DFCM at 4110 State Office Building, Salt Lake City, Utah 84114, telephone (801)538-3018 and on the DFCM web page at http://dfcm.utah.gov. For questions regarding this project, please contact **S'ean Crawford**, Project Manager, DFCM, at (801) 419-4936. No others are to be contacted regarding this project.

A MANDATORY pre-bid meeting and site visit will be held at 9:00 AM on Wednesday, February 11, 2009 at the Department of Workforce Services, 7292 South State Street, Midvale, Utah.

All pre-qualified prime contractors wishing to bid on this project must attend this meeting.

Bids must be submitted by **2:00 on Tuesday, February 24, 2009** to DFCM, 4110 State Office Building, Salt Lake City, Utah 84114. Bids will be opened and read aloud in the DFCM Conference Room, 4110 State Office Building, Salt Lake City, Utah. Note: Bids must be received at 4110 State Office Building by the specified time. The contractor shall comply with and require all of its subcontractors to comply with the license laws as required by the State of Utah.

A bid bond in the amount of five percent (5%) of the bid amount, made payable to the Division of Facilities Construction and Management on DFCM's bid bond form, shall accompany the bid.

The Division of Facilities Construction & Management reserves the right to reject any or all bids or to waive any formality or technicality in any bid in the interest of the State.

DIVISION OF FACILITIES CONSTRUCTION AND MANAGEMENT JOANNA REESE, CONTRACT COORDINATOR 4110 State Office Bldg., Salt Lake City, Utah 84114

STAGE II - MULTI-STEP BIDDING PROCESS

ONLY FIRMS PRE-QUALIFIED DURING STAGE I OF THE RFS ARE ALLOWED TO BID ON THIS PROJECT

1. Invitational Bid Procedures

The following is an overview of the invitational bid process. More detailed information is contained throughout the document. Contractors are responsible for reading and complying with all information contained in this document.

<u>Notification:</u> DFCM will notify each registered pre-qualified firm (via fax or e-mail) when a project is ready for Construction Services and invite them to bid on the project.

<u>Description of Work:</u> A description of work or plans/specifications will be given to each contractor. If required, the plans and specifications will be available on the DFCM web page at http://dfcm.utah.gov and on CDs from DFCM, at 4110 State Office Building, Salt Lake City, Utah 84114.

<u>Schedule:</u> The Stage II Schedule shows critical dates including the mandatory pre-bid site meeting (if required), the question and answer period, the bid submittal deadline, the subcontractor list submittal deadline, etc. Contractors are responsible for meeting all deadlines shown on the schedule.

<u>Mandatory Pre-Bid Site Meeting:</u> If a firm fails to attend a pre-bid site meeting labeled "Mandatory" they will not be allowed to bid on the project. At the mandatory meeting, contractors may have an opportunity to inspect the site, receive additional instructions and ask questions about project. The schedule contains information on the date, time, and place of the mandatory pre-bid site meeting.

<u>Written Questions:</u> All questions must be in writing and directed to DFCM's project manager assigned to this project. No others are to be contacted regarding this project. The schedule contains information on the deadline for submitting questions.

Addendum: All clarifications from DFCM will be in writing and issued as an addendum to the RFS. Addenda will be posted on DFCM's web site at http://dfcm.utah.gov. Contractors are responsible for obtaining information contained in each addendum from the web site. Addenda issued prior to the submittal deadline shall become part of the bidding process and must be acknowledged on the bid form. Failure to acknowledge addenda may result in disqualification from bidding.

<u>Submitting Bids:</u> Bids must be submitted to DFCM 4110 State Office Building, Salt Lake City, Utah 84114 by the deadline indicated on the schedule. Bids submitted after the deadline will not be accepted. Bids will be opened at DFCM on the date, time, and place indicated on the schedule.

<u>Subcontractors List:</u> The firm selected for the project must submit a list of all subcontractors by the deadline indicated on the schedule contained in this document.

<u>Pre-qualified List of Contractors:</u> Contractors shall remain on DFCM's list of pre-qualified contractors provided: (a) they maintain a performance rating of 3.5 or greater on each project, (b) they are not suspended for failure to comply with requirements of their contract, (c) the firm has not undergone a significant reorganization involving the loss of key personnel (site superintendents, project managers, owners, etc.) to a degree such that the firm no longer meets the pre-qualification requirements outlined in Stage I, (d) the financial viability of the firm has not significantly changed, and (e) the firm is not otherwise disqualified by DFCM. Note: If a contractor fails to comply with items (a) through (e) above,

they may be removed from DFCM's list of pre-qualified contractors following an evaluation by a review committee. Contractors will be given the opportunity to address the review committee before a decision is made. Pre-qualified contractors are ONLY authorized to bid on projects within the discipline that they were originally pre-qualified under.

2. Drawings and Specifications and Interpretations

Drawings, specifications and other contract documents may be obtained as stated in the Invitation to Bid. If any firm is in doubt as to the meaning or interpretation of any part of the drawings, specifications, scope of work or contract documents, they shall submit, in writing, a request for interpretation to the authorized DFCM representative by the deadline identified in the schedule. Answers to questions and interpretations will be made via addenda issued by DFCM. Neither DFCM or the designer shall be responsible for incorrect information obtained by contractors from sources other than the official drawings/specifications and addenda issued by DFCM.

3. Product Approvals

Where reference is made to one or more proprietary products in the contract documents, but restrictive descriptive materials of one or more manufacturer(s) is referred to in the contract documents, the products of other manufacturers will be accepted, provided they equal or exceed the standards set forth in the drawings and specifications and are compatible with the intent and purpose of the design, subject to the written approval of the Designer. Such written approval must occur prior to the deadline established for the last scheduled addendum to be issued. The Designer's written approval will be included as part of the addendum issued by DFCM. If the descriptive material is not restrictive, the products of other manufacturers specified will be accepted without prior approval provided they are compatible with the intent and purpose of the design as determined by the Designer.

4. Addenda

All clarifications from DFCM will be in writing and issued as an addendum to the RFS. Addenda will be posted on DFCM's web site at http://dfcm.utah.gov. Contractors are responsible for obtaining information contained in each addendum from the web site. Addenda issued prior to the submittal deadline shall become part of the bidding process and must be acknowledged on the bid form. Failure to acknowledge addenda shall result in disqualification from bidding. DFCM shall not be responsible for incorrect information obtained by contractors from sources other than official addenda issued by DFCM.

5. Financial Responsibility of Contractors, Subcontractors and Sub-subcontractors

Contractors shall respond promptly to any inquiry in writing by DFCM to any concern of financial responsibility of the Contractor, Subcontractor or Sub-subcontractor. Failure to respond may result in suspension from DFCM's list of pre-qualified contractors.

6. Licensure

The Contractor shall comply with and require all of its Subcontractors to comply with the license laws as required by the State of Utah.

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7. Permits

In concurrence with the requirements for permitting in the general conditions, it is the responsibility of the contractor to obtain the fugitive dust plan requirements from the Utah Division of Air Quality and the SWPPP requirements from the Utah Department of Environmental Quality and submit the completed forms and pay any permit fee that may be required for this specific project. Failure to obtain the required permit may result in work stoppage and/or fines from the regulating authority that will be the sole responsibility of the contractor. Any delay to the project as a result of any such failure to obtain the permit or noncompliance with the permit shall not be eligible for any extension in the Contract Time.

8. <u>Time is of the Essence</u>

Time is of the essence in regard to all the requirements of the contract documents.

9. <u>Bids</u>

Before submitting a bid, each bidder shall carefully examine the contract documents; shall visit the site of the work; shall fully inform themselves as to all existing conditions and limitations; and shall include in the bid the cost of all items required by the contract documents including those added via addenda. If the bidder observes that portions of the contract documents are at variance with applicable laws, building codes, rules, regulations or contain obvious erroneous or uncoordinated information, the bidder shall promptly notify the DFCM Project Manager prior to the bidding deadline. Changes necessary to correct these issues will be made via addenda issued by DFCM.

The bid, bearing original signatures, must be typed or handwritten in ink on the Bid Form provided in the procurement documents and submitted in a sealed envelope at the location specified by the Invitation to Bid prior to the published deadline for the submission of bids.

Bid bond security, in the amount of five percent (5%) of the bid, made payable to the Division of Facilities Construction and Management, shall accompany bid. THE BID BOND MUST BE ON THE BID BOND FORM PROVIDED IN THE PROCUREMENT DOCUMENTS IN ORDER TO BE CONSIDERED AN ACCEPTABLE BID.

If the bid bond security is submitted on a form other than DFCM's required bid bond form, and the bid security meets all other legal requirements, the bidder will be allowed to provide an acceptable bid bond by the close of business on the next business day following notification by DFCM of submission of a defective bid bond security. A cashier's check cannot be used as a substitute for a bid bond.

10. Listing of Subcontractors

Listing of Subcontractors shall be as summarized in the "Instructions and Subcontractor's List Form", included as part of the contract documents. The subcontractors list shall be delivered to DFCM or faxed to DFCM at (801) 538-3677 within 24 hours of the bid opening. Requirements for listing additional subcontractors will be listed in the contract documents.

DFCM retains the right to audit or take other steps necessary to confirm compliance with requirements for the listing and changing of subcontractors. Any contractor who is found to not be in compliance with these requirements may be suspended from DFCM's list of pre-qualified contractors.

11. Contract and Bond

The Contractor's Agreement will be in the form provided in this document. The duration of the contract shall be for the time indicated by the project completion deadline shown on the schedule. The successful bidder, simultaneously with the execution of the Contractor's Agreement, will be required to furnish a performance bond and a payment bond, both bearing original signatures, upon the forms provided in the procurement documents.

The performance and payment bonds shall be for an amount equal to one hundred percent (100%) of the Contract Sum and secured from a company that meets the requirements specified in the requisite forms. Any bonding requirements for Subcontractors will be specified in the Supplementary General Conditions.

12. Award of Contract

The Contract will be awarded as soon as possible to the lowest, responsive and responsible bidder, based on the lowest combination of base bid and acceptable prioritized alternates, provided the bid is reasonable, is in the interests of DFCM to accept and after applying the Utah Preference Laws in U.C.A. Title 63, Chapter 56. DFCM reserves the right to waive any technicalities or formalities in any bid or in the bidding. Alternates will be accepted on a prioritized basis with Alternate 1 being highest priority, Alternate 2 having second priority, etc. Alternates will be selected in prioritized order up to the construction cost estimate.

13. Right to Reject Bids

DFCM reserves the right to reject any or all Bids.

14. Withdrawal of Bids

Bids may be withdrawn on written request received from bidders within 24 hours after the bid opening if the contractor has made an error in preparing the bid.

15. DFCM Contractor Performance Rating

As a contractor completes each project, DFCM will evaluate project performance based on the enclosed "DFCM Contractor Performance Rating" form. The ratings issued on this project may affect the firm's "pre-qualified" status and their ability to obtain future work with DFCM.



Division of Facilities Construction and Management

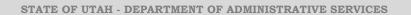
DFCM

Stage II PROJECT SCHEDULE

PROJECT NAME: ROOFTOP AHU REPLACEMENT - DEPARTMENT OF WORKFORCE SERVICES MIDVALE, UTAH

DFCM PROJECT #: 08072920				
Event	Day	Date	Time	Place
Stage II Bidding Documents	Thursday	January 29, 2009	10:00 AM	DFCM
Available				4110 State Office Building
				SLC, UT and the DFCM web site*
Mandatory Pre-bid Site	Wednesday	February 11, 2009	9:00 AM	Department of Workforce Services
Meeting				7292 South State Street
				Midvale, Utah
Deadline for Submitting	Tuesday	February 17, 2009	4:00 PM	<u>S'ean Crawford</u> – DFCM
Questions				scrawford@utah.gov
				Fax (801)-538-3267
Addendum Deadline	Thursday	February 19, 2009	2:00 PM	DFCM web site*
(exception for bid delays)				
Prime Contractors Turn in	Tuesday	February 24, 2009		DFCM
Bid and Bid Bond				4110 State Office Building
				SLC, UT
Subcontractors List Due	Wednesday	February 25, 2009		DFCM
				4110 State Office Building
				SLC, UT
				Fax 801-538-3677
Substantial Completion	Monday	July 27, 2009	5:00 PM	
Date				

^{*} NOTE: DFCM's web site address is http://dfcm.utah.gov





Division of Facilities Construction and Management

DFCM

BID FORM

NAME OF BIDDER	DATE
To the Division of Facilities Construction and Management 4110 State Office Building	
Salt Lake City, Utah 84114	
The undersigned, responsive to the "Invitation to Bid" and in Rooftop AHU Replacement - Department of Workforce S 08072920 and having examined the Contract Documents and with all of the conditions surrounding the construction of the hereby proposes to furnish all labor, materials and supplies a Contract Documents as specified and within the time set fort all expenses incurred in performing the Work required under	Services - Midvale, Utah - DFCM Project Number I the site of the proposed Work and being familiar proposed Project, including the availability of labor is required for the Work in accordance with the h and at the price stated below. This price is to cover
I/We acknowledge receipt of the following Addenda:	
For all work shown on the Drawings and described in the Sp perform for the sum of:	ecifications and Contract Documents, I/we agree to
	DOLLARS (\$)
(In case of discrepancy, written amount shall govern)	
I/We guarantee that the Work will be Substantially Complete successful bidder, and agree to pay liquidated damages in the expiration of the Contract Time as stated in Article 3 of the Contract Time	e amount of \$250.00 per day for each day after
This bid shall be good for 45 days after bid opening.	
Enclosed is a 5% bid bond, as required, in the sum of	
The undersigned Contractor's License Number for Utah is	<u>. </u>

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Upon receipt of notice of award of this bid, the undersigned agrees to execute the contract within ten (10) days, unless a shorter time is specified in Contract Documents, and deliver acceptable Performance and Payment bonds in the prescribed form in the amount of 100% of the Contract Sum for faithful performance of the contract. The Bid Bond attached, in the amount not less than five percent (5%) of the above bid sum, shall become the property of the Division of Facilities Construction and Management as liquidated damages for delay and additional expense caused thereby in the event that the contract is not executed and/or acceptable 100% Performance and Payment bonds are not delivered within time set forth.

Type of Organization:		
	(Corporation, Partnersh	nip, Individual, etc.)
Any request and inform	nation related to Utah Pro	eference Laws:
		Respectfully submitted,
		Name of Bidder
		ADDRESS:
		Authorized Signature

BID BOND (Title 63, Chapter 56, U. C. A. 1953, as Amended)

KNOW ALL PERSONS BY THESE PRESENTS:

That	hereinafter referred to as the		
"Principal," and	, a corporation organized and existing under , with its principal office in the City of and authorized to transact business in ment of the Treasury Listed, (Circular 570, Companies Holding Certificates of Authority as Acceptable Securities on		
this State and U.S. Department of the Treasury Listed (Circular 570, Com	and authorized to transact dusiness in		
Federal Bonds and as Acceptable Reinsuring Companies); hereinafter referred to as the "Surety," are held and firmly bound unto the STATE O			
UTAH, hereinafter referred to as the "Obligee," in the amount of \$ (5% of the accompanying bid), be the sum of this Bond to which payment the Principal and Surety bind themselves, their heirs, executors, administrators, successors and assign			
the sum of this Bond to which payment the Principal and Surety bind them	selves, their heirs, executors, administrators, successors and assigns,		
jointly and severally, firmly by these presents.			
THE CONDITION OF THIS OBLIGATION IS SUCH that incorporated by reference herein, dated as shown, to enter into a contract	whereas the Principal has submitted to Obligee the accompanying bid		
a contract and give bond to be approved by the Obligee for the faithful per	OBLIGATION IS SUCH, that if the said principal does not execute		
of such contract to the principal, then the sum of the amount stated above v	will be forfeited to the State of Utah as liquidated damages and not as		
a penalty; if the said principal shall execute a contract and give bond to be	approved by the Obligee for the faithful performance thereof within		
ten (10) days after being notified in writing of such contract to the Pri	ncipal, then this obligation shall be null and void. It is expressly		
understood and agreed that the liability of the Surety for any and all defaul			
The Surety, for value received, hereby stipulates and agrees that obligation from actual date of the bid opening.	ns of the Surety under this Bond shall be for a term of sixty (60) days		
from actual date of the old opening.			
PROVIDED, HOWEVER, that this Bond is executed pursuant	to provisions of Title 63, Chapter 56, Utah Code Annotated, 1953, as		
amended, and all liabilities on this Bond shall be determined in accordan	ce with said provisions to same extent as if it were copied at length		
herein.			
IN WITNESS WHEREOF, the above bounden parties have ex	ecuted this instrument under their several seals on the date indicated		
below, the name and corporate seal of each corporate party being hereto aff	ixed and these presents duly signed by its undersigned representative,		
pursuant to authority of its governing body.			
DATED this day of, 20			
DATED this day of	·		
Principal's name and address (if other than a corporation):	Principal's name and address (if a corporation):		
•	,		
By:	By:		
Title:	Title:(Affix Corporate Seal)		
Title.	(Affix Corporate Seal)		
	(composite sour)		
	Surety's name and address:		
STATE OF)			
) ss.	By:		
COLINERY OF	A () E () (A (C') C () (C 1)		
COUNTY OF)	By: Attorney-in-Fact (Affix Corporate Seal)		
On this day of, 20, personally appea whose identity is personally known to me or proved to me on the basis of s	red before me, satisfactory evidence, and who, being by me duly sworn, did say that		
On this day of, 20, personally appea whose identity is personally known to me or proved to me on the basis of she/she is the Attorney-in-fact of the above-named Surety Company, and the	red before me, satisfactory evidence, and who, being by me duly sworn, did say that hat he/she is duly authorized to execute the same and has complied in		
On this day of, 20, personally appea whose identity is personally known to me or proved to me on the basis of she/she is the Attorney-in-fact of the above-named Surety Company, and the all respects with the laws of Utah in reference to becoming sole surety upo	red before me, satisfactory evidence, and who, being by me duly sworn, did say that hat he/she is duly authorized to execute the same and has complied in		
On this day of, 20, personally appea whose identity is personally known to me or proved to me on the basis of she/she is the Attorney-in-fact of the above-named Surety Company, and the	red before me, satisfactory evidence, and who, being by me duly sworn, did say that hat he/she is duly authorized to execute the same and has complied in		
On this day of, 20, personally appear whose identity is personally known to me or proved to me on the basis of she/she is the Attorney-in-fact of the above-named Surety Company, and the all respects with the laws of Utah in reference to becoming sole surety upon to me that as Attorney-in-fact executed the same.	red before me, satisfactory evidence, and who, being by me duly sworn, did say that nat he/she is duly authorized to execute the same and has complied in n bonds, undertakings and obligations, and that he/she acknowledged		
On this day of, 20, personally appear whose identity is personally known to me or proved to me on the basis of she/she is the Attorney-in-fact of the above-named Surety Company, and the all respects with the laws of Utah in reference to becoming sole surety uponto me that as Attorney-in-fact executed the same. Subscribed and sworn to before me this day of	red before me, satisfactory evidence, and who, being by me duly sworn, did say that nat he/she is duly authorized to execute the same and has complied in n bonds, undertakings and obligations, and that he/she acknowledged		
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On this day of, 20, personally appear whose identity is personally known to me or proved to me on the basis of she/she is the Attorney-in-fact of the above-named Surety Company, and the all respects with the laws of Utah in reference to becoming sole surety uponto me that as Attorney-in-fact executed the same. Subscribed and sworn to before me this day of My Commission Expires: Resides at:	red before me, satisfactory evidence, and who, being by me duly sworn, did say that nat he/she is duly authorized to execute the same and has complied in n bonds, undertakings and obligations, and that he/she acknowledged, 20 NOTARY PUBLIC		
On this day of, 20, personally appear whose identity is personally known to me or proved to me on the basis of she/she is the Attorney-in-fact of the above-named Surety Company, and the all respects with the laws of Utah in reference to becoming sole surety uponto me that as Attorney-in-fact executed the same. Subscribed and sworn to before me this day of	red before me, satisfactory evidence, and who, being by me duly sworn, did say that nat he/she is duly authorized to execute the same and has complied in n bonds, undertakings and obligations, and that he/she acknowledged, 20		



Division of Facilities Construction and Management

DFCM

INSTRUCTION AND SUBCONTRACTORS LIST FORM

The three low bidders, as well as all other bidders that desire to be considered, are required by law to submit to DFCM within 24 hours of bid opening a list of <u>ALL</u> first-tier subcontractors, including the subcontractor's name, bid amount and other information required by Building Board Rule and as stated in these Contract Documents, based on the following:

DOLLAR AMOUNTS FOR LISTING

PROJECTS UNDER \$500,000: ALL FIRST-TIER SUBS \$20,000 OR OVER MUST BE LISTED ALL FIRST-TIER SUBS \$35,000 OR OVER MUST BE LISTED

- Any additional subcontractors identified in the bid documents shall also be listed.
- The DFCM Director may not consider any bid submitted by a bidder if the bidder fails to submit a subcontractor list meeting the requirements of State law.
- List subcontractors for base bid as well as the impact on the list that the selection of any alternate may have.
- Bidder may not list more than one subcontractor to perform the same work.
- If there are no subcontractors for the job that are required to be reported by State law (either because there are no subcontractors that will be used on the project or because there are no first-tier subcontractors over the dollar amounts referred to above), then you do not need to submit a sublist. If you do not submit a sublist, it will be deemed to be a representation by you that there are no subcontractors on the job that are required to be reported under State law. At any time, DFCM reserves the right to inquire, for security purposes, as to the identification of the subcontractors at any tier that will be on the worksite.

LICENSURE:

The subcontractor's name, the type of work, the subcontractor's bid amount, and the subcontractor's license number as issued by DOPL, if such license is required under Utah Law, shall be listed. Bidder shall certify that all subcontractors, required to be licensed, are licensed as required by State law. A subcontractor includes a trade contractor or specialty contractor and does not include suppliers who provide <u>only</u> materials, equipment, or supplies to a contractor or subcontractor.

'SPECIAL EXCEPTION':

A bidder may list 'Special Exception' in place of a subcontractor when the bidder intends to obtain a subcontractor to perform the work at a later date because the bidder was unable to obtain a qualified or reasonable bid under the provisions of U.C.A.Section 63A-5-208(4). The bidder shall insert the term 'Special Exception' for that category of work, and shall provide documentation with the subcontractor list describing the bidder's efforts to obtain a bid of a qualified subcontractor at a reasonable cost and why the bidder was unable to obtain a qualified subcontractor bid. The Director must find that the bidder complied in good faith with State law requirements for any 'Special Exception' designation, in order for the bid to be considered. If awarded the contract, the Director shall supervise the bidder's efforts to obtain a qualified subcontractor bid. The amount of the awarded contract may not be adjusted to reflect the actual amount of the subcontractor's bid. Any listing of 'Special Exception' on the sublist form shall also include amount allocated for that work.

GROUNDS FOR DISQUALIFICATION:

The Director may not consider any bid submitted by a bidder if the bidder fails to submit a subcontractor list meeting the requirements of State law. Director may withhold awarding the contract to a particular bidder if one or more of the proposed subcontractors are considered by the Director to be unqualified to do the Work or for such

INSTRUCTIONS AND SUBCONTRACTORS LIST FORM Page No. 2

other reason in the best interest of the State of Utah. Notwithstanding any other provision in these instructions, if there is a good faith error on the sublist form, at the sole discretion of the Director, the Director may provide notice to the contractor and the contractor shall have 24 hours to submit the correction to the Director. If such correction is submitted timely, then the sublist requirements shall be considered met.

CHANGES OF SUBCONTRACTORS SPECIFICALLY IDENTIFIED ON SUBLIST FORM:

Subsequent to twenty-four hours after the bid opening, the contractor may change its listed subcontractors only after receiving written permission from the Director based on complying with all of the following criteria.

- (1) The contractor has established in writing that the change is in the best interest of the State and that the contractor establishes an appropriate reason for the change, which may include, but not is not limited to, the following reasons: the original subcontractor has failed to perform, or is not qualified or capable of performing, and/or the subcontractor has requested in writing to be released.
- (2) The circumstances related to the request for the change do not indicate any bad faith in the original listing of the subcontractors.
- (3) Any requirement set forth by the Director to ensure that the process used to select a new subcontractor does not give rise to bid shopping.
- (4) Any increase in the cost of the subject subcontractor work is borne by the contractor.
- (5) Any decrease in the cost of the subject subcontractor work shall result in a deductive change order being issued for the contract for such decreased amount.
- (6) The Director will give substantial weight to whether the subcontractor has consented in writing to being removed unless the Contractor establishes that the subcontractor is not qualified for the work.

EXAMPLE:

Example of a list where there are only four subcontractors:

TYPE OF WORK	SUBCONTRACTOR, "SELF" OR "SPECIAL EXCEPTION"	SUBCONTRACTOR BID AMOUNT	CONTRACTOR LICENSE #
ELECTRICAL	ABCD Electric Inc.	\$350,000.00	123456789000
LANDSCAPING	"Self" *	\$300,000.00	123456789000
CONCRETE (ALTERNATE #1)	XYZ Concrete Inc	\$298,000.00	987654321000
MECHANICAL	"Special Exception" (attach documentation)	Fixed at: \$350,000.00	(TO BE PROVIDED AFTER OBTAINING SUBCONTRACTOR)

^{*} Bidders may list "self", but it is not required.

PURSUANT TO STATE LAW - SUBCONTRACTOR BID AMOUNTS CONTAINED IN THIS SUBCONTRACTOR LIST SHALL NOT BE DISCLOSED UNTIL THE CONTRACT HAS BEEN AWARDED.



DDOIECT TITLE.

Division of Facilities Construction and Management

DFCM

SUBCONTRACTORS LIST FAX TO 801-538-3677

TYPE OF WORK	SUBCONTRACTOR, "SELF" OR "SPECIAL EXCEPTION"	SUBCONTRACTOR BID AMOUNT	CONT. LICENSE
TITE OF WORK	SELF OR SIECIAL EACEI HON	DID ANIOUNI	CONT. LICENSE
well as any alternates. We have listed "Self" or "S	tractors as required by the instructions, inc pecial Exception" in accordance with the in opriately licensed as required by State law.	nstructions.	o the base bid as
	FIRM:		
E:			

4110 State Office Building, Salt Lake City, Utah 84114 - telephone 801-538-3018 - facsimile 801-538-3677 - http://dfcm.utah.gov

CONTRACT DOCUMENTS, SHALL BE GROUNDS FOR OWNER'S REFUSAL TO ENTER INTO A WRITTEN CONTRACT WITH BIDDER. ACTION MAY BE TAKEN AGAINST BIDDERS BID BOND AS DEEMED

APPROPRIATE BY OWNER. ATTACH A SECOND PAGE IF NECESSARY.

300/300/	/FVA/	/_	/_	_/_
	Project 1	No		

CONTRACTOR'S AGREEMENT

FOR:
THIS CONTRACTOR'S AGREEMENT, made and entered into this day of, 20, by and between the DIVISION OF FACILITIES CONSTRUCTION AND MANAGEMENT, hereinafter referred to as "DFCM", and, incorporated in the State of and authorized to do business in the State of Utah, hereinafter referred to as "Contractor", whose address is
WITNESSETH: WHEREAS, DFCM intends to have Work performed at
WHEREAS, Contractor agrees to perform the Work for the sum stated herein.
NOW, THEREFORE, DFCM and Contractor for the consideration provided in this Contractor's Agreement, agree as follows:
ARTICLE 1. SCOPE OF WORK. The Work to be performed shall be in accordance with the Contract Documents prepared by and entitled ""
The DFCM General Conditions ("General Conditions") dated May 25, 2005 and Supplemental General Conditions dated July 15, 2008 ("also referred to as General Conditions") and on file at the office of DFCM and available on the DFCM website, are hereby incorporated by reference as part of this Agreement and are included in the specifications for this Project. All terms used in this Contractor's Agreement shall be as defined in the Contract Documents, and in particular, the General Conditions.
The Contractor Agrees to furnish labor, materials and equipment to complete the Work as required in the Contract Documents which are hereby incorporated by reference. It is understood and agreed by the parties hereto that all Work shall be performed as required in the Contract Documents and shall be subject to inspection and approval of DFCM or its authorized representative. The relationship of the Contractor to the DFCM hereunder is that of an independent Contractor.
ARTICLE 2. CONTRACT SUM. The DFCM agrees to pay and the Contractor agrees to accept in full performance of this Contractor's Agreement, the sum of
is the base bid, and which sum also includes the cost of a 100%

CONTRACTOR'S AGREEMENT PAGE NO. 2

Performance Bond and a 100% Payment Bond as well as all insurance requirements of the Contractor. Said bonds have already been posted by the Contractor pursuant to State law. The required proof of insurance certificates have been delivered to DFCM in accordance with the General Conditions before the execution of this Contractor's Agreement.

ARTICLE 3. TIME OF COMPLETION AND DELAY	Y REMEDY. The Work shall be
Substantially Complete by Contractor	agrees to pay liquidated damages in the
amount of \$ per day for each day after expiration of the	ne Contract Time until the Contractor
achieves Substantial Completion in accordance with the Cont	ract Documents, if Contractor's delay
makes the damages applicable. The provision for liquidated	damages is: (a) to compensate the DFCM
for delay only; (b) is provided for herein because actual dama	ages can not be readily ascertained at the
time of execution of this Contractor's Agreement; (c) is not a	penalty; and (d) shall not prevent the
DFCM from maintaining Claims for other non-delay damage	s, such as costs to complete or remedy
defective Work.	

No action shall be maintained by the Contractor, including its or Subcontractor or suppliers at any tier, against the DFCM or State of Utah for damages or other claims due to losses attributable to hindrances or delays from any cause whatsoever, including acts and omissions of the DFCM or its officers, employees or agents, except as expressly provided in the General Conditions. The Contractor may receive a written extension of time, signed by the DFCM, in which to complete the Work under this Contractor's Agreement in accordance with the General Conditions.

ARTICLE 4. CONTRACT DOCUMENTS. The Contract Documents consist of this Contractor's Agreement, the Conditions of the Contract (DFCM General Conditions, Supplementary and other Conditions), the Drawings, Specifications, Addenda and Modifications. The Contract Documents shall also include the bidding documents, including the Notice to Contractors, Instructions to Bidders/Proposers and the Bid/Proposal, to the extent not in conflict therewith and other documents and oral presentations that are documented as an attachment to the contract.

All such documents are hereby incorporated by reference herein. Any reference in this Contractor's Agreement to certain provisions of the Contract Documents shall in no way be construed as to lessen the importance or applicability of any other provisions of the Contract Documents.

ARTICLE 5. PAYMENT. The DFCM agrees to pay the Contractor from time to time as the Work progresses, but not more than once each month after the date of Notice to Proceed, and only upon Certificate of the A/E for Work performed during the preceding calendar month, ninety-five percent (95%) of the value of the labor performed and ninety-five percent (95%) of the value of materials furnished in place or on the site. The Contractor agrees to furnish to the DFCM invoices for materials purchased and on the site but not installed, for which the Contractor requests payment and agrees to safeguard and protect such equipment or materials and is responsible for safekeeping thereof and if such be stolen, lost or destroyed, to replace same.

CONTRACTOR'S AGREEMENT PAGE NO. 3

Such evidence of labor performed and materials furnished as the DFCM may reasonably require shall be supplied by the Contractor at the time of request for Certificate of Payment on account. Materials for which payment has been made cannot be removed from the job site without DFCM's written approval. Five percent (5%) of the earned amount shall be retained from each monthly payment. The retainage, including any additional retainage imposed and the release of any retainage, shall be in accordance with UCA 13-8-5 as amended. Contractor shall also comply with the requirements of UCA 13-8-5, including restrictions of retainage regarding subcontractors and the distribution of interest earned on the retention proceeds. The DFCM shall not be responsible for enforcing the Contractor's obligations under State law in fulfilling the retention law requirements with subcontractors at any tier.

ARTICLE 6. INDEBTEDNESS. Before final payment is made, the Contractor must submit evidence satisfactory to the DFCM that all payrolls, materials bills, subcontracts at any tier and outstanding indebtedness in connection with the Work have been properly paid. Final Payment will be made after receipt of said evidence, final acceptance of the Work by the DFCM as well as compliance with the applicable provisions of the General Conditions.

Contractor shall respond immediately to any inquiry in writing by DFCM as to any concern of financial responsibility and DFCM reserves the right to request any waivers, releases or bonds from Contractor in regard to any rights of Subcontractors (including suppliers) at any tier or any third parties prior to any payment by DFCM to Contractor.

ARTICLE 7. ADDITIONAL WORK. It is understood and agreed by the parties hereto that no money will be paid to the Contractor for additional labor or materials furnished unless a new contract in writing or a Modification hereof in accordance with the General Conditions and the Contract Documents for such additional labor or materials has been executed. The DFCM specifically reserves the right to modify or amend this Contractor's Agreement and the total sum due hereunder either by enlarging or restricting the scope of the Work.

ARTICLE 8. INSPECTIONS. The Work shall be inspected for acceptance in accordance with the General Conditions.

ARTICLE 9. DISPUTES. Any dispute, PRE or Claim between the parties shall be subject to the provisions of Article 7 of the General Conditions. DFCM reserves all rights to pursue its rights and remedies as provided in the General Conditions.

ARTICLE 10. TERMINATION, SUSPENSION OR ABANDONMENT. This Contractor's Agreement may be terminated, suspended or abandoned in accordance with the General Conditions.

ARTICLE 11. DFCM'S RIGHT TO WITHHOLD CERTAIN AMOUNT AND MAKE USE

THEREOF. The DFCM may withhold from payment to the Contractor such amount as, in DFCM's judgment, may be necessary to pay just claims against the Contractor or Subcontractor at any tier for labor and services rendered and materials furnished in and about the Work. The DFCM may apply such withheld amounts for the payment of such claims in DFCM's discretion. In so doing, the DFCM shall be deemed the agent of Contractor and payment so made by the DFCM shall be considered as payment made under this Contractor's Agreement by the DFCM to the Contractor. DFCM shall not be liable to the Contractor for any such payment made in good faith. Such withholdings and payments may be made without prior approval of the Contractor and may be also be prior to any determination as a result of any dispute, PRE, Claim or litigation.

ARTICLE 12. INDEMNIFICATION. The Contractor shall comply with the indemnification provisions of the General Conditions.

ARTICLE 13. SUCCESSORS AND ASSIGNMENT OF CONTRACT. The DFCM and Contractor, respectively bind themselves, their partners, successors, assigns and legal representatives to the other party to this Agreement, and to partners, successors, assigns and legal representatives of such other party with respect to all covenants, provisions, rights and responsibilities of this Contractor's Agreement. The Contractor shall not assign this Contractor's Agreement without the prior written consent of the DFCM, nor shall the Contractor assign any moneys due or to become due as well as any rights under this Contractor's Agreement, without prior written consent of the DFCM.

ARTICLE 14. RELATIONSHIP OF THE PARTIES. The Contractor accepts the relationship of trust and confidence established by this Contractor's Agreement and covenants with the DFCM to cooperate with the DFCM and A/E and use the Contractor's best skill, efforts and judgment in furthering the interest of the DFCM; to furnish efficient business administration and supervision; to make best efforts to furnish at all times an adequate supply of workers and materials; and to perform the Work in the best and most expeditious and economic manner consistent with the interests of the DFCM.

ARTICLE 15. AUTHORITY TO EXECUTE AND PERFORM AGREEMENT. Contractor and DFCM each represent that the execution of this Contractor's Agreement and the performance thereunder is within their respective duly authorized powers.

ARTICLE 16. ATTORNEY FEES AND COSTS. Except as otherwise provided in the dispute resolution provisions of the General Conditions, the prevailing party shall be entitled to reasonable attorney fees and costs incurred in any action in the District Court and/or appellate body to enforce this Contractor's Agreement or recover damages or any other action as a result of a breach thereof.

CONTRACTOR'S AGREEMENT PAGE NO. 5

IN WITNESS WHEREOF, the parties hereto have executed this Contractor's Agreement on the day and year stated hereinabove.

	CONTRACTOR:	
	Signature	Date
	Title:	
State of)		
County of)	Please type/print name clearly	
On this day of, 20, perswhose identity is personally known to me (or who by me duly sworn (or affirmed), did say the firm and that said document was signed by	proved to me on the basis of satisfacto that he (she) is the	ry evidence) and
(SEAL)	Notary Public	
(SEAL)	My Commission Expires	
APPROVED AS TO AVAILABILITY OF FUNDS:	DIVISION OF FACILITIES CONSTRUCTION AND MAN	NAGEMENT
David D. Williams, Jr. Date DFCM Administrative Services Director	Lynn A. Hinrichs Assistant Director Construction	Date Management
APPROVED AS TO FORM: ATTORNEY GENERAL July 15, 2008	APPROVED FOR EXPENDIT	URE:
By: Alan S. Bachman Asst Attorney General	Division of Finance	Date

PERFORMANCE BOND

(Title 63, Chapter 56, U. C. A. 1953, as Amended)

That		hereinafter referred to as	the "Principal" and
, with its principal office in the City of and authorize			
Listed (Circular 570, Companies Holding Certificates of Authority as Acceptable S	ecurities on Federal Bond	s and as Acceptable Rein	nsuring Companies);
hereinafter referred to as the "Surety," are held and firmly bound unto the State of Uta	h, hereinafter referred to a	s the "Obligee, " in the ar	nount of
	DOLLARS (\$) for the p	payment whereof, the
said Principal and Surety bind themselves and their heirs, administrators, executors, s	uccessors and assigns, join	tly and severally, firmly l	by these presents.
WITEDEAC Ab. Drive in the control into a control control control	A- O-1: 4-4-4	4£	20 4-
WHEREAS, the Principal has entered into a certain written Contract with	the Obligee, dated the	day of	, 20, to
construct	6 4	6	
in the County of, State of Utah, Project No	_, for the approximate sum	101	
		Dollars (\$), which
Contract is hereby incorporated by reference herein.			
NOW, THEREFORE, the condition of this obligation is such that if the s	-	• •	
Contract Documents including, but not limited to, the Plans, Specifications and condi-			
Contract as said Contract may be subject to Modifications or changes, then this obliga-	ation shall be void; otherwi	se it shall remain in full f	orce and effect.
No right of action shall accrue on this bond to or for the use of any person	or corporation other than	the state named herein or	the heirs, executors,
administrators or successors of the Owner.			
The parties agree that the dispute provisions provided in the Contract Docu	ments apply and shall const	itute the sole dispute proc	edures of the parties.
PROVIDED, HOWEVER, that this Bond is executed pursuant to the Pro	visions of Title 63, Chapter	56, Utah Code Annotate	d, 1953, as amended,
and all liabilities on this Bond shall be determined in accordance with said provisions			
r			
IN WITNESS WHEREOF, the said Principal and Surety have signed and	d sealed this instrument this	s day of	. 20
WITNESS OR ATTESTATION:	PRINCIPAL:		
WITHESS OR ATTESTATION.	TRINCH AL.		
	D		
	ву:		
	TT: 1		(Seal)
	Title:		
WITNESS OR ATTESTATION:	SURETY:		
<u> </u>			
	Ву:		
	Attorney-in-Fact		(Seal)
STATE OF)	·		
COUNTY OF			
On this day of, 20, personally appeared before m	ne.		whose
identity is personally known to me or proved to me on the basis of satisfactory eviden			
in-fact of the above-named Surety Company and that he/she is duly authorized to ex			
reference to becoming sole surety upon bonds, undertakings and obligations, and that	ne/sne acknowledged to m	ie that as Attorney-in-rac	executed the same.
	20		
Subscribed and sworn to before me this day of			
My commission expires:			
Resides at:			
	NOTARY PUBLIC		
	╗		
Agency:			
Agent:			
Address:		A 1 A . T. T.	M. 25 2005
Phone:	Dr. 4	Approved As To For Jan S. Bachman, Asst	
	II BV A	iau 5 Dacilman Assi	A HOLDEY General

DFCM Form 7b 071508 20

PAYMENT BOND

(Title 63, Chapter 56, U. C. A. 1953, as Amended)

KNOW ALL PERSONS BY THESE PRESENTS:

That			hereinafter referred to as	the "Principal," and	
	, a corporation organized	and existing under the	laws of the State of	authorized to d	o business in this State
			ing Certificates of Authority as Ac		
			, hereinafter referred to		
			and Surety bind themselves and the	ir heirs, administrators, e	xecutors, successors
and assigns, jointly and seve	rally, firmly by these presen	its.			
			act with the Obligee, dated the		
to construct			for the approximate sum of		
in the County of	, State of Utah, Pro	oject No	for the approximate sum of Dollars (\$)f	
incorporated by reference he			Dollars (\$), which	contract is nereby
NOW, THEREF	ORE, the condition of this o	obligation is such that i	f the said Principal shall pay all clai	mants supplying labor or i	naterials to Principal
		•	apter 56, of Utah Code Annotated, 19		-
•			ise it shall remain in full force and		Ī
TT . 110		11 1 2 1	1 1 1 1	Cart In at	1122
			nd agrees that no changes, extension		
	•	•	or drawings accompanying same sha	• •	-
or drawings and agrees that			ons or additions to the terms of the C	ontract or to the work or	to the specifications
of drawings and agrees that	hey shall become part of the	e Contract Documents	•		
PROVIDED. HO	OWEVER, that this Bond is	executed nursuant to th	ne provisions of Title 63, Chapter 56,	Utah Code Annotated 19	953 as amended and
		-	ons to the same extent as if it were		55, us umended, und
		_			
IN WITNESS W	/HEREOF, the said Princip	oal and Surety have sig	gned and sealed this instrument this	day of	, 20
WITNESS OR ATTESTA	TION.		PRINCIPAL:		
WIINESS OK AITESTA	HON:		PRINCIPAL:		
		_			
			Ву:		
			Title:		(Seal)
WITNESS OR ATTESTA	ΓΙΟN:		SURETY:		
		_			
STATE OF			Attorney-in-Fact		(Seal)
COLDIENTOE) ss.				
COUNTY OF)				
On this	day of	20 20	ersonally appeared before me		
On this	uay or		, whose identity is personally		o me on the basis of
satisfactory evidence, and w	ho being by me duly sworn		the Attorney-in-fact of the above-na		
-		•	vs of Utah in reference to becomin		•
obligations, and that he/she	-	•		g sore surery upon cond	o, andertanings and
,	C	•			
Subscribed and sworn to bef	ore me this day of		, 20		
My commission expires:					
Resides at:			NOTARY PUBLIC		
			NOTAKT FUBLIC		
Agent:					form: May 25, 2005
Address:			I	By Alan S. Bachman, As	sst Attorney General

DFCM Form 7b 071508 21



Division of Facilities Construction and Management

DFCM

CERTIFICATE OF SUBSTANTIAL COMPLETION

PROJECT		PROJECT N	iO:
AGENCY/INSTITUTION			
AREA ACCEPTED			
The Work performed under the subject Condefined in the General Conditions; including Documents, as modified by any change order area of the Project for the use for which it is	g that the c s agreed to l	construction is sufficiently comp	leted in accordance with the Contract
The DFCM - (Owner) accepts the Project possession of the Project or specified area of			
The DFCM accepts the Project for occupancy utilities and insurance, of the Project subject			
The Owner acknowledges receipt of the followard Drawings O & M Ma		eout and transition materials: Warranty Documents	Completion of Training Requirements
A list of items to be completed or corrected (I responsibility of the Contractor to complete changes thereof. The amount of completion of the punch list work.	all the Wo	ork in accordance with the Cont	ract Documents, including authorized
The Contractor shall complete or correct thecalendar days from the above date of iss the Owner has the right to be compensated fo expense of the retained project funds. If the Owner shall be promptly reimbursed for the	uance of thi r the delays retained pr	is Certificate. If the list of items i and/or complete the work with the oject funds are insufficient to co-	s not completed within the time allotted ne help of independent contractor at the ver the delay/completion damages, the
CONTRACTOR (include name of firm)	_ by:	(Signature)	DATE
CONTRACTOR (iliciade name of firm)		(Signature)	DATE
A/E (include name of firm)	_ by:	(Signature)	DATE
USING INSTITUTION OR AGENCY	_ by:	(Signature)	DATE
	_ by:		
DFCM (Owner)	-	(Signature)	DATE
4110 State Office Building, Salt Lake City, Velephone 801-538-3018 • facsimile 801-538			cc: Parties Noted DFCM, Director

DFCM Form 7b 071508 22



STATE OF UTAH - DEPARTMENT OF ADMINISTRATIVE SERVICES

DFCM

Division of Facilities Construction and Management

General Contractor Performance Rating Form

Project Name:				DFCM Project#		
Contractor:	Y: A/E:			Original Contrac Amount:	1	al Contract ount:
(ABC Construction, John Doe, 111-111-	1111) (AB	C Architects, Jan	e Ooe, 222-222-2222)			
DFCM Project Manager:		Contract Date:				
Completion Date:				Date of Rating:		
Rating Guideline	PRODI SER	ITY OF UCT OR VICES	COST CONTROL	TIMELINESS OF PERFORMANCE	BUSINESS RELATIONS	
5-Exceptional				nance level in any of the abo clearly exceeds the perform		
4-Very Good	Contractor i compliance contract req and/or deliv product/sen	with uirements ers quality	Contractor is effective in managing costs and submits current, accurate, and complete billings	Contractor is effective in meeting milestones and delivery schedule	Response to inquiries, technical/service/ administrative issues is effective	
3-Satisfactory	Minor inefficiencie have been i	ajturuli kultura autili tikan katiligeta keja hiji gruntti kas	Contractor is usually effective in managing cost	Contractor is usually effective in meeting milestones and delivery schedules	Response to inquires technical/ service/administrative issues is somewhat effective	
2-Marginal	Major proble been encou	ntered	Contractor is having major difficulty managing cost effectively	Contractor is having major difficulty meeting milestones and delivery schedule	Response to inquiries, technical/service/administrative issues is marginally effective	
1-Unsatisfactory	Contractor is compliance jeopardizing achievement objectives	and is	Contractor is unable to manage costs effectively	Contractor delays are jeopardizing performance of contract objectives	Response to inquiries, technical/service/administrative issues is not effective	
	<u>ala-lau den Mines meneris series einem neriem (em reziente en sicion d</u>					
Rate Contractors quality project cleanliness, organ		, -	_	tractor performance,		Score
Agency Comments:						
A & E Comments:						
DFCM Project Manager Co	omments:					

2. Rate Contractor administration of project costs, change orders and financial management of the project budget.	Score
Agency Comments:	
A & E Comments:	
DFCM Project Manager Comments:	
3. Rate Contractor's performance and adherence to Project Schedule, delay procedures and requirements of substantial completion, inspection and punch-list performance.	Score
Agency Comments:	
A & E Comments:	
DFCM Project Manager Comments:	
4. Evaluate performance of contractor management team including project manager, engineer and superintendent also include in the rating team's ability to work well with owner, user agency and consultants.	Score
Agency Comments:	
A & E Comments:	
DFCM Project Manager Comments:	

5. Rate success of Contractor's mana project risks and performance of value	gement plan, completion of the plans mitigation of engineering concepts.	Score
Agency Comments:		
A & E Comments:		
DFCM Project Manager Comments:		
Signed by:	Date:	Mean Score
Additional Comments:		

DEPARTMENT OF WORK FORCE AHU REPLACEMENTS CONSTRUCTION **SERVICES MIDVALE DWS ROOFTOP**



State of Utah—Department of Administrative Services

DIVISION OF FACILITIES CONSTRUCTION AND MANAGEMENT

4110 State Office Building / Salt Lake City, Utah 84114 / 538-3018

SPECIFICATIONS

PREPARED BY

WHW ENGINEERING INC. 8619 SOUTH SANDY PARKWAY, SUITE 101 **SANDY, UTAH 84070** PHONE: (801) 466-4021

FAX: (801) 466-8536

DATE July 2008

WHW Engineering Project #08040

DIVISION 1 - GENERAL REQUIREMENTS

01000 GENERAL REQUIREMENTS 01100 SUMMARY 01230 ALTERNATES

DIVISION 15 - MECHANICAL

- 15010 GENERAL REQUIREMENTS
- 15050 BASIC MECHANICAL MATERIALS & METHODS
- 15074 VIBRATION AND SEISMIC CONTROLS FOR HVAC PIPING AND EQUIPMENT
- 15183 REFRIGERANT PIPING
- 15195 FACILITY NATURAL-GAS PIPING
- 15733 PACKAGED ROOFTOP UNITS
- 15738 SPLIT SYSTEM AIR CONDITIONING UNITS
- 15820 DUCT ACCESSORIES
- 15900 HVAC INSTRUMENTATION AND CONTROLS ADDITIVE ALTERNATE #1
- 15950 TESTING, ADJUSTING AND BALANCING

TABLE OF CONTENTS 1

Department of WorkForce Services Midvale DWS Rooftop AHU Replacement DFCM #08072920

January 2009

DIVISION 1

01000 GENERAL REQUIREMENTS

01100 SUMMARY 01230 ALTERNATES

SECTION 01000 - GENERAL REQUIREMENTS

PART 1 - GENERAL

1.1 This project shall be a standard DFCM single prime contract. DFCM General Conditions and design and construction standards shall apply. DFCM documents are available for download at www.dfcm.utah.gov

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01000

SECTION 01100 - SUMMARY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes the following:
 - 1. Work covered by the Contract Documents.
 - 2. Type of the Contract.
 - 3. Owner's occupancy requirements.
 - Work restrictions.
 - 5. Specification formats and conventions.

1.3 WORK COVERED BY CONTRACT DOCUMENTS

- A. Project Identification: Department of Work Force Services State Street Rooftop unit Replacements.
 - 1. Project Location: Midvale, UT.
- B. Owner: State of Utah DFCM.
 - 1. Owner's Representative: S'ean Crawford
- C. Architect: WHW Engineering 8619 S Sandy Parkway #101 Sandy UT 84070.
- D. The Work consists of the following:
 - 1. The Work includes: Replacing 8 packaged rooftop units, providing 1 safety rail at roof, and replacing 1 split system.
 - 2. Work shall be done in phases. Remove and replace 1 RTU at a time. Coordinate shut-down dates and schedule with owner's representatives.

1.4 TYPE OF CONTRACT

A. Project will be constructed under a single prime contract.

1.5 USE OF PREMISES

- A. General: Each Contractor shall have limited use of premises for construction operations as indicated in project documents.
- B. Use of Site: Limit use of premises to areas within the Contract limits indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.
 - 1. Owner Occupancy: Allow for Owner occupancy of Project site and use by the public.
 - 2. Driveways and Entrances: Keep driveways loading areas, and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or storage of materials.
 - a. Schedule deliveries to minimize use of driveways and entrances.
 - b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
- C. Use of Existing Building: Maintain existing building in a weathertight condition throughout construction period. Repair damage caused by construction operations. Protect building and its occupants during construction period.

1.6 OWNER'S OCCUPANCY REQUIREMENTS

- A. Full Owner Occupancy: Owner will occupy site and existing building during entire construction period. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's day-to-day operations. Maintain existing exits, unless otherwise indicated.
 - 1. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities. Do not close or obstruct walkways, corridors, or other occupied or used facilities without written permission from Owner and authorities having jurisdiction.
 - 2. Provide not less than 72 hours' notice to Owner of activities that will affect Owner's operations.
- B. Partial Owner Occupancy: Owner will occupy the premises during entire construction period, with the exception of areas under construction. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's operations. Maintain existing exits, unless otherwise indicated.
 - 1. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities. Do not close or obstruct walkways, corridors, or other occupied or used facilities without written permission from Owner and authorities having jurisdiction.
 - 2. Provide not less than 72 hours' notice to Owner of activities that will affect Owner's operations.

SUMMARY 01100 - 2

- C. Owner Occupancy of Completed Areas of Construction: Owner reserves the right to occupy and to place and install equipment in completed areas of building, before Substantial Completion, provided such occupancy does not interfere with completion of the Work. Such placement of equipment and partial occupancy shall not constitute acceptance of the total Work.
 - 1. Architect will prepare a Certificate of Substantial Completion for each specific portion of the Work to be occupied before Owner occupancy.
 - 2. Obtain a Certificate of Occupancy from authorities having jurisdiction before Owner occupancy.
 - 3. Before partial Owner occupancy, mechanical and electrical systems shall be fully operational, and required tests and inspections shall be successfully completed. On occupancy, Owner will operate and maintain mechanical and electrical systems serving occupied portions of building.
 - 4. On occupancy, Owner will assume responsibility for maintenance and custodial service for occupied portions of building.

1.7 WORK RESTRICTIONS

- A. On-Site Work Hours: Work shall be generally performed inside the existing building during normal business working hours of 8:00 a.m. to 5:00 p.m., Monday through Friday, except otherwise indicated.
- B. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary utility services according to requirements indicated:
 - 1. Notify Owner not less than three days in advance of proposed utility interruptions.
 - 2. Do not proceed with utility interruptions without Owner's written permission.

1.8 SPECIFICATION FORMATS AND CONVENTIONS

- A. Specification Format: The Specifications are organized into Divisions and Sections using the 16-division format and CSI/CSC's "MasterFormat" numbering system.
 - Section Identification: The Specifications use Section numbers and titles to help cross-referencing in the Contract Documents. Sections in the Project Manual are in numeric sequence; however, the sequence is incomplete because all available Section numbers are not used. Consult the table of contents at the beginning of the Project Manual to determine numbers and names of Sections in the Contract Documents.
 - 2. Division 1: Sections in Division 1 govern the execution of the Work of all Sections in the Specifications.
- B. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:

SUMMARY 01100 - 3

- 1. Abbreviated Language: Language used in the Specifications and other Contract Documents is abbreviated. Words and meanings shall be interpreted as appropriate. Words implied, but not stated, shall be inferred as the sense requires. Singular words shall be interpreted as plural, and plural words shall be interpreted as singular where applicable as the context of the Contract Documents indicates.
- 2. Imperative mood and streamlined language are generally used in the Specifications. Requirements expressed in the imperative mood are to be performed by Contractor. Occasionally, the indicative or subjunctive mood may be used in the Section Text for clarity to describe responsibilities that must be fulfilled indirectly by Contractor or by others when so noted.
 - a. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.

1.9 MISCELLANEOUS PROVISIONS

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01100

SUMMARY 01100 - 4

SECTION 01230 - ALTERNATES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for alternates.

1.3 DEFINITIONS

- A. Alternate: An amount proposed by bidders and stated on the Bid Form for certain work defined in the Bidding Requirements that may be added to or deducted from the Base Bid amount if Owner decides to accept a corresponding change either in the amount of construction to be completed or in the products, materials, equipment, systems, or installation methods described in the Contract Documents.
 - 1. The cost or credit for each alternate is the net addition to or deduction from the Contract Sum to incorporate alternate into the Work. No other adjustments are made to the Contract Sum.

1.4 PROCEDURES

- A. Coordination: Modify or adjust affected adjacent work as necessary to completely integrate work of the alternate into Project.
 - 1. Include as part of each alternate, miscellaneous devices, accessory objects, and similar items incidental to or required for a complete installation whether or not indicated as part of alternate.
- B. Notification: Immediately following award of the Contract, notify each party involved, in writing, of the status of each alternate. Indicate if alternates have been accepted, rejected, or deferred for later consideration. Include a complete description of negotiated modifications to alternates.
- C. Execute accepted alternates under the same conditions as other work of the Contract.
- D. Schedule: A Schedule of Alternates is included at the end of this Section. Specification Sections referenced in schedule contain requirements for materials necessary to achieve the work described under each alternate.

ALTERNATES 01230 - 1

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 SCHEDULE OF ALTERNATES

A. Additive Alternate #1: Provide DDC controls system for all new and existing HVAC equipment at this building, and tie into central head end. Coordinate with Section 15900.

END OF SECTION 01230

ALTERNATES 01230 - 2

Department of WorkForce Services Midvale DWS Rooftop AHU Replacement DFCM #08072920

DIVISION 15 MECHANICAL

15010	GENERAL REQUIREMENTS
15050	BASIC MATERIALS & METHODS
15074	VIBRATION AND SEISMIC CONTROLS FOR HVAC PIPING AND EQUIPMENT
15183	REFRIGERANT PIPING
15195	FACILITY NATURAL-GAS PIPING
15733	PACKAGED ROOFTOP UNITS
15738	SPILT SYSTEMS AIR CONDITION UNITS
15820	DUCT ACCESSORIES
15861	AIR FILTERS
15900	HVAC INSTRUMENTATION AND CONTROLS ADDITIVE ALTERNATE #1
15950	TESTING, ADJUSTING AND BALANCING

SECTION 15010 - GENERAL REQUIREMENTS

PART 1 - GENERAL

1.1 GENERAL

A. General Conditions and Division 01 apply to this Division.

1.2 SCOPE

A. Includes -

- 1. Furnish all labor, materials, and equipment necessary for the completion of the mechanical and plumbing scope of work.
- 2. Furnish and install all motors specified in this Division and be responsible for the proper operation of electrical powered equipment furnished by this Division.
- 3. Furnish exact location of electrical connections and information on motor controls to Division 16.
- 4. Mechanical Contractor shall obtain the services of independent Test and Balance Agency.
- 5. Placing the air conditioning, heating, ventilating, and exhaust systems into full operation and continuing their operation during each working day of testing and balancing.
- 6. Making changes in pulleys, belts, and dampers, or adding dampers, as required for the correct balance as recommended by Balancing Contractor at no additional cost to Owner.
- 7. Air balance, final adjustment and test run.
- 8. The satisfactory performance of the completed systems is a requirement of this specification.

B. Related Work Specified Elsewhere

- 1. Conduit, line voltage wiring, outlets, and disconnect switches specified in Division 16.
- 2. Magnetic starters and thermal protective devices (heaters) not a factory mounted integral part of packaged equipment are specified in Division 16.

1.3 SITE OBSERVATION

A. The Contractor shall examine the site and understand the conditions which may affect the performance of work of this Division before submitting proposals for this work.

B. No subsequent allowance for time or money will be considered for any consequence related to failure to examine existing site conditions.

1.4 DRAWINGS

- A. Mechanical drawings show general arrangement of piping, ductwork, equipment, etc; however, locations are to be regarded as shown diagrammatically only. Follow as closely as actual building construction and work of other trades will permit.
- B. Because of the small scale of mechanical drawings, it is not possible to indicate all offsets, fittings, and accessories which may be required. Investigate existing structural and finished conditions affecting this work and arrange work accordingly, providing such fittings, valves, and accessories required to meet conditions.
- C. If changes in location of piping, equipment, ducts, etc. are required due to lack of coordination of work under this division, such changes shall be made without charge. Contractor shall review drawings with local and state agencies having jurisdiction and any changes required by them shall be brought to the attention of the Architect prior to bidding or commencement of work.

1.5 CODE REQUIREMENTS, FEES, AND PERMITS

- A. The work shall be installed in accordance with the following applicable codes, ordinances and standards unless otherwise specified. The codes and standards shall include but not be limited to and be of the latest and current editions.
 - 1. American Boiler and Affiliated Industries (AB and AI)
 - 2. American Gas Association (AGA)
 - 3. Air Movement and Control Association (AMCA)
 - 4. American National Standards Institute (ANSI)
 - 5. Air Conditioning & Refrigeration Institute (ARI)
 - 6. American Society of Heating, Refrigeration and Air Conditioning Engineers (ASHRAE) ASHRAE 90.1-2004
 - 7. American Society of Mechanical Engineers (ASME)
 - 8. American Society of Testing Materials (ASTM)
 - 9. American Standards Association (ASA)
 - 10. American Water Works Association (AWWA)
 - 11. American Welding Society (AWS)
 - 12. Associated Air Balance Council (AABC)
 - 13. Heat Exchange Institute (HEI)
 - 14. Hydraulic Institute (HI)
 - 15. BR
 - 16. National Electrical Code (NEC)
 - 17. National Fire Protection Association (NFPA)
 - 18. Sheet Metal and Air Conditioning contractors National Association (SMACNA)
 - 19. Underwriters Laboratories (UL)

- 20. International Building Code (IBC) 2006 Ed
- 21. International Mechanical Code (IMC) 2006 Ed
- 22. International Plumbing Code (IPC) with Utah Amendments 2006 Ed\
- 23. International Energy Conservation Code (IECC) 2006 Ed
- 24. Utah State Safety Orders (OSHA/UOSH)
- 25. Utah Fire Rating Bureau
- 26. Utah Boiler and Pressure Vessel Law
- 27. Utah Air Conservation Regulations/Waste Disposal regulations.
- 28. ASHRAE Ventilation STD.62-2004
- B. Should drawings conflict with any code, the code shall govern. If drawings and specifications establish a quality exceeding the code, the drawings and specifications shall govern. If conflicts do exist among the drawings, specifications and codes, the same shall be brought to the attention of the Architect in writing prior to bidding, otherwise Contractor shall comply with applicable codes.
- C. The latest edition of all codes shall be used.
- D. Contractor shall give all notices, obtain all necessary permits, file necessary plans, prepare documents and obtain approvals, and pay all fees required for completion of the mechanical and plumbing work outlined in this Division of the specifications and shown on the Mechanical Drawings.

1.6 OPERATION AND MAINTENANCE MANUAL FOR MECHANICAL SYSTEMS

- A. Upon completion of work and before final payment, Contractor shall furnish and deliver to the Owner, through the Architect, installation, operation and maintenance manuals with instructions for all new materials and equipment used in the building. The contractor shall provide three (3) hard copies of the manuals, and three (3) CD's with electronic copies of the manuals. Electronic information shall be .PDF format. The CD's shall include the same information as the hard copies, and shall be organized in the same manner with electronic bookmarks for each section. CD case and the CD itself shall be labeled the same as the hard copies of the manuals.
- B. Bind Operation and Maintenance Manual for Mechanical Systems in a hard-backed piano hinge loose-leaf binder with strong sturdy cover. The project name shall be on the spine and the front of the binder. The front of the binder shall include the following information:

OPERATION
AND
MAINTENANCE
MANUAL
for MECHANICAL SYSTEMS of
(Name of Project)
(Location of Project)
(Date of Project Award)
(Name of Architect)

C. Introduction

- 1. Title page including name of project, project number, date awarded and date of substantial completion.
- 2. Second page shall contain the names, phone numbers and addresses of Architect, Consulting Engineers, Mechanical Contractor, and General Contractor.
- 3. Third page shall include a Table of Contents for the entire manual.

D. First Section - Summary information including:

- 1. First page shall contain the contractor's warranties.
- 2. Second page shall contain a list of names, addresses and phone numbers of contractors and all sub-contractors and work to which each was assigned.
- 3. Final page or pages shall contain an equipment list. The list shall contain each item of equipment or material for which a submittal was required giving ID or tag no as contained on the drawings make and model No. Serial No. Identification No. Location in building, function along with the name, address, and phone number of the supplier.

E. Second Section - Mechanical Equipment O&M data including:

- 1. Mechanical maintenance schedule, including a lubrication list when necessary.
- 2. Mechanical Equipment Operation and Maintenance Data including:
 - a. Equipment descriptions
 - b. Detailed installation instruction, operating and maintenance instructions. Instructions include in a step by step manner identifying start-up, operating, shutdown and emergency action sequence sufficiently clear so a person unfamiliar with the equipment could perform its operations.
 - c. Equipment drawings, performance curves, operating characteristics, etc.
 - d. Name addresses and phone number of manufacturer, fabricator and local vender clearly printed or stamped on cover.
 - e. Complete parts listing which include catalog number, serial number, contract number or other accurate provision for ordering replacement and spare parts.
 - f. Certified drawings, where applicable, showing assembly of parts and general dimensions.

3. Approved Mechanical submittals

F. Third Section - Plumbing Equipment O&M data including:

1. Section shall contain general product catalog cuts, as well as exploded view drawings with parts lists for all valves and other items with multiple parts.

- 2. Approved Plumbing submittals
- G. Fourth Section Controls O&M data including:
 - 1. Sequence of Operation
 - 2. Description of each operating system included location of switches, breakers, thermostats, and control devices. Provide a single line diagram, showing set points, normal operating parameters for all loads, pressures, temperatures and flow check points; Describe all alarms and cautions for operation.
 - 3. Provide schematic control diagrams, panel diagrams, wiring diagrams, etc. for each separate fan system, chilled water system, hot water system, exhaust air system, pumps, etc. Each control diagram shall show a schematic representation of mechanical equipment and location of start-stop switches, insertion thermostats, thermometers, pressure gauges, automatic valves, etc. The correct reading for each control instrument shall be marked on the diagram.
- H. The Fifth Section shall contain a complete air and water test and balance report. The report shall contain the name, address and phone number of the agency. It shall also include:
 - 1. Floor plans showing all air openings and thermometer locations clearly marked and cross referenced with data sheets. Format may be 8 1/2 x 11 or 11x14 if legible.
 - 2. Data sheets showing amount of air and water at each setting. See sections 15950.
 - 3. List of equipment with date of last calibration.
- I. Drawings and reproducible masters of drawings as required in individual specification sections, are not to be bound in volumes but are to be delivered separate with the maintenance manuals.
- J. See the following checklist for assistance in assembling manual:

Item #	Description	Y, NA	N,	or
1.	3 ring heavy duty binder with Project name, number and date on cover and project name on spine.			
2.	O&M manual on CD (with label on CD matching label on manual). Electronic copy shall be a PDF file with bookmarks that match the tabs in the hard copy.			
3.	Title Page [including project name, number, address, date awarded, date of substantial completion]			
4.	Second Page Contact List [including architect (if applicable), mechanical engineer, mechanical contractor, and general contractor (if applicable)]			
5.	Table of Contents			
6.	Section 1 - Summary			
Α.	Warranty			
В.	Mechanical's Sub-contractor List			

C.	Vendor List	
D.	Equipment List	
7.	Section 2 – Mechanical Equipment	
A.	Maintenance Schedule (including lubrication list)	
B.	Mechanical Equipment O&M Data (for each piece of equipment submitted) per specifications	
C.	Approved mechanical submittals	
8.	Section 3 – Plumbing Equipment	
A.	Plumbing equipment O&M data	
B.	Approved plumbing submittals	
9.	Section 4 - Controls	
A.	Sequence of Operation	
B.	Controls diagrams	
C.	Controls Equipment	<u> </u>
10.	Section 5 – Test and Balance Report	
A.	Complete Test and Balance Report per specifications	

1.7 OPERATION AND MAINTENANCE INSTRUCTIONS

- A. Contractor shall instruct building maintenance personnel in the operation and maintenance of the installed mechanical systems utilizing the Operation and Maintenance Manual when so doing.
- B. Minimum instruction periods shall be as follows -
 - 1. Mechanical Four hours.
- C. Instruction periods shall occur before final site observation when systems are properly working and before final payment is made.
- D. None of these instructional periods shall overlap each other.
- E. An additional four hours of instruction will be provided by each contractor, after 60 days of system operation by owner to insure proper system operation and answer questions.

1.8 RECORD DRAWINGS

A. Contractor shall keep an up-to-date set of mechanical and plumbing drawings in his custody showing all changes in red, clearly defined and neatly drafted by him. At the end of construction, he shall turn these drawings over to the Architect. Record drawings must be completed and submitted prior to final site observation

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 15010

SECTION 15050 - BASIC MECHANICAL MATERIALS AND METHODS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes the following:
 - 1. Piping materials and installation instructions common to most piping systems.
 - 2. Dielectric fittings.
 - 3. Mechanical sleeve seals.
 - 4. Sleeves.
 - 5. Escutcheons.
 - Mechanical demolition.
 - 7. Equipment installation requirements common to equipment sections.

1.3 DEFINITIONS

- A. Finished Spaces: Spaces other than mechanical and electrical equipment rooms, furred spaces, pipe and duct shafts, unheated spaces immediately below roof, spaces above ceilings, unexcavated spaces, crawlspaces, and tunnels.
- B. Exposed, Interior Installations: Exposed to view indoors. Examples include finished occupied spaces and mechanical equipment rooms.
- C. Exposed, Exterior Installations: Exposed to view outdoors or subject to outdoor ambient temperatures and weather conditions. Examples include rooftop locations.
- D. Concealed, Interior Installations: Concealed from view and protected from physical contact by building occupants. Examples include above ceilings and in duct shafts.
- E. Concealed, Exterior Installations: Concealed from view and protected from weather conditions and physical contact by building occupants but subject to outdoor ambient temperatures. Examples include installations within unheated shelters.
- F. The following are industry abbreviations for plastic materials:
 - 1. ABS: Acrylonitrile-butadiene-styrene plastic.
 - 2. CPVC: Chlorinated polyvinyl chloride plastic.
 - 3. PVC: Polyvinyl chloride plastic.

1.4 SUBMITTALS

- A. Product Data: For the following:
 - 1. Dielectric fittings.
 - 2. Mechanical sleeve seals.
- B. Welding certificates.

1.5 QUALITY ASSURANCE

- A. Steel Pipe Welding: Qualify processes and operators according to ASME Boiler and Pressure Vessel Code: Section IX, "Welding and Brazing Qualifications."
 - 1. Comply with provisions in ASME B31 Series, "Code for Pressure Piping."
 - 2. Certify that each welder has passed AWS qualification tests for welding processes involved and that certification is current.
- B. All materials, piping, etc. shall be new, and <u>domestically</u> made of the best commercial quality obtainable, consistent with specified materials and for the purpose or function intended unless specifically approved in writing prior to bid.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Deliver pipes and tubes with factory-applied end caps. Maintain end caps through shipping, storage, and handling to prevent pipe end damage and to prevent entrance of dirt, debris, and moisture.
- B. Store plastic pipes protected from direct sunlight. Support to prevent sagging and bending.

1.7 COORDINATION

- A. Arrange for pipe spaces, chases, slots, and openings in building structure during progress of construction, to allow for mechanical installations.
- B. Coordinate installation of required supporting devices and set sleeves in poured-inplace concrete and other structural components as they are constructed.
- C. Coordinate requirements for access panels and doors for mechanical items requiring access that are concealed behind finished surfaces.

PART 2 - PRODUCTS

2.1 PIPE, TUBE, AND FITTINGS

- A. Refer to individual Division 15 piping Sections for pipe, tube, and fitting materials and joining methods.
- B. Pipe Threads: ASME B1.20.1 for factory-threaded pipe and pipe fittings.

2.2 JOINING MATERIALS

- A. Refer to individual Division 15 piping Sections for special joining materials not listed below.
- B. Pipe-Flange Gasket Materials: Suitable for chemical and thermal conditions of piping system contents.
 - 1. ASME B16.21, nonmetallic, flat, asbestos-free, 1/8-inch maximum thickness unless thickness or specific material is indicated.
 - a. Full-Face Type: For flat-face, Class 125, cast-iron and cast-bronze flanges.
 - b. Narrow-Face Type: For raised-face, Class 250, cast-iron and steel flanges.
 - 2. AWWA C110, rubber, flat face, 1/8 inch thick, unless otherwise indicated; and full-face or ring type, unless otherwise indicated.
- C. Flange Bolts and Nuts: ASME B18.2.1, carbon steel, unless otherwise indicated.
- D. Plastic, Pipe-Flange Gasket, Bolts, and Nuts: Type and material recommended by piping system manufacturer, unless otherwise indicated.
- E. Solder Filler Metals: ASTM B 32, lead-free alloys. Include water-flushable flux according to ASTM B 813.
- F. Brazing Filler Metals: AWS A5.8, BCuP Series, copper-phosphorus alloys for general-duty brazing, unless otherwise indicated; and AWS A5.8, BAg1, silver alloy for refrigerant piping, unless otherwise indicated.
- G. Welding Filler Metals: Comply with AWS D10.12 for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.

2.3 SLEEVES

- A. Galvanized-Steel Sheet: 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint.
- B. Steel Pipe: ASTM A 53, Type E, Grade B, Schedule 40, galvanized, plain ends.

- C. Cast Iron: Cast or fabricated "wall pipe" equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop, unless otherwise indicated.
- D. Molded PVC: Permanent, with nailing flange for attaching to wooden forms.
- E. PVC Pipe: ASTM D 1785, Schedule 40.

2.4 ESCUTCHEONS

- A. Description: Manufactured wall and ceiling escutcheons and floor plates, with an ID to closely fit around pipe, tube, and insulation of insulated piping and an OD that completely covers opening.
- B. One-Piece, Stamped-Steel Type: With set screw or spring clips and chrome-plated finish.

2.5 GROUT

- A. Description: ASTM C 1107, Grade B, nonshrink and nonmetallic, dry hydraulic-cement grout.
 - 1. Characteristics: Post-hardening, volume-adjusting, nonstaining, noncorrosive, nongaseous, and recommended for interior and exterior applications.
 - 2. Design Mix: 5000-psi, 28-day compressive strength.
 - 3. Packaging: Premixed and factory packaged.

PART 3 - EXECUTION

3.1 MECHANICAL DEMOLITION

- A. Disconnect, demolish, and remove mechanical systems, equipment, and components indicated to be removed.
 - 1. Piping to Be Removed: Remove portion of piping indicated to be removed and cap or plug remaining piping with same or compatible piping material.
 - 2. Piping to Be Abandoned in Place: Drain piping and cap or plug piping with same or compatible piping material.
 - 3. Ducts to Be Removed: Remove portion of ducts indicated to be removed and plug remaining ducts with same or compatible ductwork material.
 - 4. Ducts to Be Abandoned in Place: Cap or plug ducts with same or compatible ductwork material.
 - 5. Equipment to Be Removed: Disconnect and cap services and remove equipment.
 - 6. Equipment to Be Removed and Reinstalled: Disconnect and cap services and remove, clean, and store equipment; when appropriate, reinstall, reconnect, and make equipment operational.

- 7. Equipment to Be Removed and Salvaged: Disconnect and cap services and remove equipment and deliver to Owner.
- B. If pipe, insulation, or equipment to remain is damaged in appearance or is unserviceable, remove damaged or unserviceable portions and replace with new products of equal capacity and quality.

3.2 PIPING SYSTEMS - COMMON REQUIREMENTS

- A. Install piping according to the following requirements and Division 15 Sections specifying piping systems.
- B. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Drawings do not show every offset, or bend that may be required. Indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations. Install piping as indicated unless deviations to layout are approved on Coordination Drawings.
- C. Install piping in concealed locations, unless otherwise indicated and except in equipment rooms and service areas.
- D. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- E. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- F. Install piping to permit valve servicing.
- G. Install piping at indicated slopes.
- H. Install piping free of sags and bends.
- I. Install fittings for changes in direction and branch connections.
- J. Install piping to allow application of insulation.
- K. Select system components with pressure rating equal to or greater than system operating pressure.
- L. Install escutcheons for penetrations of walls, ceilings, and floors where indicated on drawings and where penetrating will be visible to public.
- M. Install sleeves for pipes passing through concrete and masonry walls, gypsum-board partitions, and concrete floor and roof slabs.
 - 1. Cut sleeves to length for mounting flush with both surfaces.

- a. Exception: Extend sleeves installed in floors of mechanical equipment areas or other wet areas 2 inches above finished floor level. Extend castiron sleeve fittings below floor slab as required to secure clamping ring if ring is specified.
- 2. Install sleeves in new walls and slabs as new walls and slabs are constructed.
- 3. Install sleeves that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation. Use the following sleeve materials:
- 4. Except for underground wall penetrations, seal annular space between sleeve and pipe or pipe insulation, using joint sealants appropriate for size, depth, and location of joint.
- N. Aboveground, Exterior-Wall Pipe Penetrations: Seal penetrations using sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- O. Underground, Exterior-Wall Pipe Penetrations: Install cast-iron "wall pipes" for sleeves. Seal pipe penetrations using mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
 - Mechanical Sleeve Seal Installation: Select type and number of sealing elements required for pipe material and size. Position pipe in center of sleeve. Assemble mechanical sleeve seals and install in annular space between pipe and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.
- P. Fire-Barrier Penetrations: Maintain indicated fire rating of walls, partitions, ceilings, and floors at pipe penetrations. Seal pipe penetrations with firestop materials.
- Q. Verify final equipment locations for roughing-in.
- R. Refer to equipment specifications in other Sections of these Specifications for roughing-in requirements.

3.3 PIPING JOINT CONSTRUCTION

- A. Join pipe and fittings according to the following requirements and Division 15 Sections specifying piping systems.
- B. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- C. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- D. Soldered Joints: Apply ASTM B 813, water-flushable flux, unless otherwise indicated, to tube end. Construct joints according to ASTM B 828 or CDA's "Copper Tube Handbook," using lead-free solder alloy complying with ASTM B 32.

- E. Brazed Joints: Construct joints according to AWS's "Brazing Handbook," "Pipe and Tube" Chapter, using copper-phosphorus brazing filler metal complying with AWS A5.8.
- F. Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
 - 1. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.
 - 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.
- G. Welded Joints: Construct joints according to AWS D10.12, using qualified processes and welding operators according to Part 1 "Quality Assurance" Article.
- H. Flanged Joints: Select appropriate gasket material, size, type, and thickness for service application. Install gasket concentrically positioned. Use suitable lubricants on bolt threads.

3.4 PIPING CONNECTIONS

- A. Make connections according to the following, unless otherwise indicated:
 - 1. Install unions, in piping NPS 2 and smaller, adjacent to each valve and at final connection to each piece of equipment.
 - 2. Install flanges, in piping NPS 2-1/2 and larger, adjacent to flanged valves and at final connection to each piece of equipment.
 - 3. Dry Piping Systems: Install dielectric unions and flanges to connect piping materials of dissimilar metals.
 - 4. Wet Piping Systems: Install dielectric coupling and nipple fittings to connect piping materials of dissimilar metals.

3.5 EQUIPMENT INSTALLATION - COMMON REQUIREMENTS

- A. Install equipment to allow maximum possible headroom unless specific mounting heights are not indicated.
- B. Install equipment level and plumb, parallel and perpendicular to other building systems and components in exposed interior spaces, unless otherwise indicated.
- C. Install mechanical equipment to facilitate service, maintenance, and repair or replacement of components. Connect equipment for ease of disconnecting, with minimum interference to other installations. Extend grease fittings to accessible locations.
- D. Install equipment to allow right of way for piping installed at required slope.

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END OF SECTION 15050

SECTION 15074 - VIBRATION AND SEISMIC CONTROLS FOR HVAC PIPING AND EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes the following:
 - 1. Seismic snubbers.
 - 2. Restraining braces and cables.

1.3 **DEFINITIONS**

- A. IBC: International Building Code.
- B. ICC-ES: ICC-Evaluation Service.

1.4 PERFORMANCE REQUIREMENTS

- A. Wind-Restraint Loading:
 - 1. Basic Wind Speed: Per owner's design standards.
 - 2. Building Classification Category: As defined in the IBC.
 - 3. Minimum 10 lb/sq. ft. multiplied by the maximum area of the HVAC component projected on a vertical plane that is normal to the wind direction, and 45 degrees either side of normal.
- B. Seismic-Restraint Loading:
 - 1. Site Class: As defined in the IBC.
 - Assigned Seismic Use Group or Building Category: As defined in the IBC.
 - a. Component Importance Factor: 1.5.

1.5 SUBMITTALS

A. Product Data: For the following:

- 1. Include rated load, rated deflection, and overload capacity for each vibration isolation device.
- 2. Illustrate and indicate style, material, strength, fastening provision, and finish for each type and size of seismic-restraint component used.
 - a. Tabulate types and sizes of seismic restraints, complete with report numbers and rated strength in tension and shear as evaluated by an evaluation service member of ICC-ES or an agency acceptable to authorities having jurisdiction.
 - b. Annotate to indicate application of each product submitted and compliance with requirements.
- 3. Interlocking Snubbers: Include ratings for horizontal, vertical, and combined loads.
- B. Delegated-Design Submittal: For vibration isolation and seismic-restraint details indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.
 - 1. Design Calculations: Calculate static and dynamic loading due to equipment weight and operation, seismic and wind forces required to select vibration isolators, seismic and wind restraints, and for designing vibration isolation bases.
 - a. Coordinate design calculations with wind load calculations required for equipment mounted outdoors. Comply with requirements in other Division 15 Sections for equipment mounted outdoors.
 - 2. Riser Supports: Include riser diagrams and calculations showing anticipated expansion and contraction at each support point, initial and final loads on building structure, spring deflection changes, and seismic loads. Include certification that riser system has been examined for excessive stress and that none will exist.
 - 3. Vibration Isolation Base Details: Detail overall dimensions, including anchorages and attachments to structure and to supported equipment. Include auxiliary motor slides and rails, base weights, equipment static loads, power transmission, component misalignment, and cantilever loads.
 - 4. Seismic- and Wind-Restraint Details:
 - a. Design Analysis: To support selection and arrangement of seismic and wind restraints. Include calculations of combined tensile and shear loads.
 - b. Details: Indicate fabrication and arrangement. Detail attachments of restraints to the restrained items and to the structure. Show attachment locations, methods, and spacings. Identify components, list their strengths, and indicate directions and values of forces transmitted to the structure during seismic events. Indicate association with vibration isolation devices.
 - c. Coordinate seismic-restraint and vibration isolation details with wind-restraint details required for equipment mounted outdoors. Comply with requirements in other Division 15 Sections for equipment mounted outdoors.

- d. Preapproval and Evaluation Documentation: By an evaluation service member of ICC-ES or an agency acceptable to authorities having jurisdiction, showing maximum ratings of restraint items and the basis for approval (tests or calculations).
- C. Coordination Drawings: Show coordination of seismic bracing for HVAC piping and equipment with other systems and equipment in the vicinity, including other supports and seismic restraints.
- D. Welding certificates.
- E. Qualification Data: For professional engineer and testing agency.
- F. Field quality-control test reports.

1.6 QUALITY ASSURANCE

- A. Testing Agency Qualifications: An independent agency, with the experience and capability to conduct the testing indicated, that is a nationally recognized testing laboratory (NRTL) as defined by OSHA in 29 CFR 1910.7, and that is acceptable to authorities having jurisdiction.
- B. Comply with seismic-restraint requirements in the IBC unless requirements in this Section are more stringent.
- C. Welding: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code Steel."
- D. Seismic-restraint devices shall have horizontal and vertical load testing and analysis and shall bear anchorage preapproval OPA number from OSHPD, preapproval by ICC-ES, or preapproval by another agency acceptable to authorities having jurisdiction, showing maximum seismic-restraint ratings. Ratings based on independent testing are preferred to ratings based on calculations. If preapproved ratings are not available, submittals based on independent testing are preferred. Calculations (including combining shear and tensile loads) to support seismic-restraint designs must be signed and sealed by a qualified professional engineer.

PART 2 - PRODUCTS

2.1 SEISMIC-RESTRAINT DEVICES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Amber/Booth Company, Inc.
 - 2. Hilti, Inc.
 - Kinetics Noise Control.
 - 4. Mason Industries.

- 5. Unistrut; Tyco International, Ltd.
- Prior approved equal.
- B. General Requirements for Restraint Components: Rated strengths, features, and applications shall be as defined in reports by an evaluation service member of ICC-ES or an agency acceptable to authorities having jurisdiction.
 - 1. Structural Safety Factor: Allowable strength in tension, shear, and pullout force of components shall be at least four times the maximum seismic forces to which they will be subjected.
- C. Snubbers: Factory fabricated using welded structural-steel shapes and plates, anchor bolts, and replaceable resilient isolation washers and bushings.
 - 1. Anchor bolts for attaching to concrete shall be seismic-rated, drill-in, and studwedge or female-wedge type.
 - 2. Resilient Isolation Washers and Bushings: Oil- and water-resistant neoprene.
 - 3. Maximum 1/4-inch air gap, and minimum 1/4-inch- thick resilient cushion.
- D. Channel Support System: MFMA-3, shop- or field-fabricated support assembly made of slotted steel channels with accessories for attachment to braced component at one end and to building structure at the other end and other matching components and with corrosion-resistant coating; and rated in tension, compression, and torsion forces.
- E. Restraint Cables: -steel cables with end connections made of steel assemblies with thimbles, brackets, swivel, and bolts designed for restraining cable service; and with a minimum of two clamping bolts for cable engagement.
- F. Hanger Rod Stiffener: Steel tube or steel slotted-support-system sleeve with internally bolted connections or Reinforcing steel angle clamped to hanger rod.
- G. Bushings for Floor-Mounted Equipment Anchor Bolts: Neoprene bushings designed for rigid equipment mountings, and matched to type and size of anchor bolts and studs.
- H. Bushing Assemblies for Wall-Mounted Equipment Anchorage: Assemblies of neoprene elements and steel sleeves designed for rigid equipment mountings, and matched to type and size of attachment devices used.
- I. Resilient Isolation Washers and Bushings: One-piece, molded, oil- and water-resistant neoprene, with a flat washer face.
- J. Mechanical Anchor Bolts: Drilled-in and stud-wedge or female-wedge type in zinc-coated steel for interior applications and stainless steel for exterior applications. Select anchor bolts with strength required for anchor and as tested according to ASTM E 488. Minimum length of eight times diameter.
- K. Adhesive Anchor Bolts: Drilled-in and capsule anchor system containing polyvinyl or urethane methacrylate-based resin and accelerator, or injected polymer or hybrid mortar adhesive. Provide anchor bolts and hardware with zinc-coated steel for interior

applications and stainless steel for exterior applications. Select anchor bolts with strength required for anchor and as tested according to ASTM E 488.

2.2 FACTORY FINISHES

- A. Finish: Manufacturer's standard paint applied to factory-assembled and -tested equipment before shipping.
 - 1. Powder coating on springs and housings.
 - 2. All hardware shall be galvanized. Hot-dip galvanize metal components for exterior use.
 - 3. Baked enamel or powder coat for metal components on isolators for interior use.
 - 4. Color-code or otherwise mark vibration isolation and seismic- and wind-control devices to indicate capacity range.

PART 3 - EXECUTION

3.1 **EXAMINATION**

- A. Examine areas and equipment to receive vibration isolation and seismic- and windcontrol devices for compliance with requirements for installation tolerances and other conditions affecting performance.
- B. Examine roughing-in of reinforcement and cast-in-place anchors to verify actual locations before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 APPLICATIONS

- A. Multiple Pipe Supports: Secure pipes to trapeze member with clamps approved for application by an evaluation service member of ICC-ES or an agency acceptable to authorities having jurisdiction.
- B. Hanger Rod Stiffeners: Install hanger rod stiffeners where indicated or scheduled on Drawings to receive them and where required to prevent buckling of hanger rods due to seismic forces.
- C. Strength of Support and Seismic-Restraint Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static and seismic loads within specified loading limits.

3.3 VIBRATION-CONTROL AND SEISMIC-RESTRAINT DEVICE INSTALLATION

A. Equipment Restraints:

- 1. Install seismic snubbers on HVAC equipment mounted on vibration isolators. Locate snubbers as close as possible to vibration isolators and bolt to equipment base and supporting structure.
- 2. Install resilient bolt isolation washers on equipment anchor bolts where clearance between anchor and adjacent surface exceeds 0.125 inch.
- 3. Install seismic-restraint devices using methods approved by an evaluation service member of ICC-ES or an agency acceptable to authorities having jurisdiction providing required submittals for component.

B. Piping Restraints:

- 1. Comply with requirements in MSS SP-127.
- 2. Space lateral supports a maximum of 40 feet o.c., and longitudinal supports a maximum of 80 feet o.c.
- 3. Brace a change of direction longer than 12 feet.
- C. Install cables so they do not bend across edges of adjacent equipment or building structure.
- D. Install seismic-restraint devices using methods approved by an evaluation service member of ICC-ES or an agency acceptable to authorities having jurisdiction providing required submittals for component.
- E. Install bushing assemblies for anchor bolts for floor-mounted equipment, arranged to provide resilient media between anchor bolt and mounting hole in concrete base.
- F. Install bushing assemblies for mounting bolts for wall-mounted equipment, arranged to provide resilient media where equipment or equipment-mounting channels are attached to wall.
- G. Attachment to Structure: If specific attachment is not indicated, anchor bracing to structure at flanges of beams, at upper truss chords of bar joists, or at concrete members.

H. Drilled-in Anchors:

- 1. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Do not damage existing reinforcing or embedded items during coring or drilling. Notify the structural engineer if reinforcing steel or other embedded items are encountered during drilling. Locate and avoid prestressed tendons, electrical and telecommunications conduit, and gas lines.
- 2. Do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength.
- 3. Wedge Anchors: Protect threads from damage during anchor installation. Heavy-duty sleeve anchors shall be installed with sleeve fully engaged in the structural element to which anchor is to be fastened.
- 4. Adhesive Anchors: Clean holes to remove loose material and drilling dust prior to installation of adhesive. Place adhesive in holes proceeding from the bottom of the hole and progressing toward the surface in such a manner as to avoid introduction of air pockets in the adhesive.

- 5. Set anchors to manufacturer's recommended torque, using a torque wrench.
- 6. Install zinc-coated steel anchors for interior and stainless-steel anchors for exterior applications.

3.4 ACCOMMODATION OF DIFFERENTIAL SEISMIC MOTION

A. Install flexible connections in piping where they cross seismic joints, where adjacent sections or branches are supported by different structural elements, and where the connections terminate with connection to equipment that is anchored to a different structural element from the one supporting the connections as they approach equipment. Comply with requirements in Division 15 Section "Hydronic Piping" for piping flexible connections.

3.5 FIELD QUALITY CONTROL

- A. Testing Agency: Leave a qualified testing agency to perform tests and inspections.
- B. Perform tests and inspections.
- C. Tests and Inspections:
 - 1. Provide evidence of recent calibration of test equipment by a testing agency acceptable to authorities having jurisdiction.
 - 2. Schedule test with Owner, through Architect, before connecting anchorage device to restrained component (unless postconnection testing has been approved), and with at least seven days' advance notice.
 - 3. Obtain Architect's approval before transmitting test loads to structure. Provide temporary load-spreading members.
 - 4. Test at least four of each type and size of installed anchors and fasteners selected by Architect.
 - 5. Test to 90 percent of rated proof load of device.
 - 6. Measure isolator restraint clearance.
 - 7. Measure isolator deflection.
 - 8. Verify snubber minimum clearances.
 - 9. If a device fails test, modify all installations of same type and retest until satisfactory results are achieved.
- D. Remove and replace malfunctioning units and retest as specified above.
- E. Prepare test and inspection reports.

3.6 ADJUSTING

- A. Adjust isolators after piping system is at operating weight.
- B. Adjust limit stops on restrained spring isolators to mount equipment at normal operating height. After equipment installation is complete, adjust limit stops so they are out of contact during normal operation.

- C. Adjust air-spring leveling mechanism.
- D. Adjust active height of spring isolators.
- E. Adjust restraints to permit free movement of equipment within normal mode of operation.

3.7 DEMONSTRATION

A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain air-mounting systems. Refer to Division 1 Section "Demonstration and Training."

END OF SECTION 15074

SECTION 15183 - REFRIGERANT PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes refrigerant piping used for air-conditioning applications.

1.3 PERFORMANCE REQUIREMENTS

- A. Line Test Pressure for Refrigerant R-410A:
 - 1. Suction Lines for Air-Conditioning Applications: 300 psig.
 - 2. Suction Lines for Heat-Pump Applications: 535 psig.
 - 3. Hot-Gas and Liquid Lines: 535 psig.

1.4 SUBMITTALS

- A. Product Data: For each type of valve and refrigerant piping specialty indicated. Include pressure drop, based on manufacturer's test data, for the following:
 - 1. Thermostatic expansion valves.
 - 2. Solenoid valves.
 - 3. Hot-gas bypass valves.
 - 4. Filter dryers.
 - 5. Strainers.
 - Pressure-regulating valves.
- B. Welding certificates.
- C. Field quality-control test reports.
- D. Operation and Maintenance Data: For refrigerant valves and piping specialties to include in maintenance manuals.

1.5 QUALITY ASSURANCE

A. Welding: Qualify procedures and personnel according to ASME Boiler and Pressure Vessel Code: Section IX, "Welding and Brazing Qualifications."

- B. Comply with ASHRAE 15, "Safety Code for Refrigeration Systems."
- C. Comply with ASME B31.5, "Refrigeration Piping and Heat Transfer Components."

1.6 PRODUCT STORAGE AND HANDLING

A. Store piping in a clean and protected area with end caps in place to ensure that piping interior and exterior are clean when installed.

1.7 COORDINATION

A. Coordinate size and location of roof curbs, equipment supports, and roof penetrations. These items are specified in Division 7 Section "Roof Accessories."

PART 2 - PRODUCTS

2.1 COPPER TUBE AND FITTINGS

- A. Copper Tube: [ASTM B 88, Type K or L] [ASTM B 280, Type ACR].
- B. Wrought-Copper Fittings: ASME B16.22.
- C. Wrought-Copper Unions: ASME B16.22.
- D. Solder Filler Metals: ASTM B 32. Use 95-5 tin antimony or alloy HB solder to join copper socket fittings on copper pipe.
- E. Brazing Filler Metals: AWS A5.8.
- F. Flexible Connectors:
 - 1. Body: Tin-bronze bellows with woven, flexible, tinned-bronze-wire-reinforced protective jacket.
 - 2. End Connections: Socket ends.
 - 3. Offset Performance: Capable of minimum 3/4-inch misalignment in minimum 7-inch-long assembly.
 - 4. Pressure Rating: Factory test at minimum 500 psig.
 - 5. Maximum Operating Temperature: 250 deg F.

2.2 VALVES AND SPECIALTIES

- A. Thermostatic Expansion Valves: Comply with ARI 750.
 - 1. Body, Bonnet, and Seal Cap: Forged brass or steel.
 - 2. Diaphragm, Piston, Closing Spring, and Seat Insert: Stainless steel.
 - 3. Packing and Gaskets: Non-asbestos.

- 4. Capillary and Bulb: Copper tubing filled with refrigerant charge.
- 5. Suction Temperature: 40 deg F.
- 6. Superheat: Adjustable.
- 7. Reverse-flow option (for heat-pump applications).
- 8. End Connections: Socket, flare, or threaded union.
- 9. Working Pressure Rating: 700 psig.
- B. Hot-Gas Bypass Valves: Comply with UL 429; listed and labeled by an NRTL.
 - 1. Body, Bonnet, and Seal Cap: Ductile iron or steel.
 - 2. Diaphragm, Piston, Closing Spring, and Seat Insert: Stainless steel.
 - 3. Packing and Gaskets: Non-asbestos.
 - 4. Solenoid Tube, Plunger, Closing Spring, and Seat Orifice: Stainless steel.
 - 5. Seat: Polytetrafluoroethylene.
 - 6. Equalizer: Internal or External.
 - 7. Electrical: Molded, watertight coil in NEMA 250 enclosure of type required by location with 1/2-inch conduit adapter, and 24-V ac coil.
 - 8. End Connections: Socket.
 - 9. Throttling Range: Maximum 5 psig.
 - 10. Working Pressure Rating: 500 psig.
 - 11. Maximum Operating Temperature: 240 deg F.
- C. Moisture/Liquid Indicators:
 - 1. Body: Forged brass.
 - 2. Window: Replaceable, clear, fused glass window with indicating element protected by filter screen.
 - 3. Indicator: Color coded to show moisture content in ppm.
 - 4. Minimum Moisture Indicator Sensitivity: Indicate moisture above 60 ppm.
 - 5. End Connections: Socket or flare.
 - 6. Working Pressure Rating: 500 psig.
 - 7. Maximum Operating Temperature: 240 deg F.

2.3 REFRIGERANTS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Atofina Chemicals, Inc.
 - DuPont Company; Fluorochemicals Div.
 - 3. Honeywell, Inc.; Genetron Refrigerants.
 - 4. INEOS Fluor Americas LLC.
 - 5. Prior approved equal.

PART 3 - EXECUTION

3.1 PIPING APPLICATIONS FOR REFRIGERANT R-410A

- A. Suction Lines NPS 3-1/2 and Smaller for Conventional Air-Conditioning Applications: Copper, Type L, drawn-temper tubing and wrought-copper fittings with soldered joints.
- B. Hot-Gas and Liquid Lines: Copper, Type L, annealed- or drawn-temper tubing and wrought-copper fittings with soldered joints.
- C. Safety-Relief-Valve Discharge Piping: Copper, Type L, annealed- or drawn-temper tubing and wrought-copper fittings with soldered joints.

3.2 VALVE AND SPECIALTY APPLICATIONS

- A. Install diaphragm packless or packed-angle valves in suction and discharge lines of compressor.
- B. Install service valves for gage taps at inlet and outlet of hot-gas bypass valves and strainers if they are not an integral part of valves and strainers.
- C. Install a check valve at the compressor discharge and a liquid accumulator at the compressor suction connection.
- D. Except as otherwise indicated, install diaphragm packless or packed-angle valves on inlet and outlet side of filter dryers.
- E. Install a full-sized, three-valve bypass around filter dryers.
- F. Install solenoid valves upstream from each expansion valve and hot-gas bypass valve. Install solenoid valves in horizontal lines with coil at top.
- G. Install thermostatic expansion valves as close as possible to distributors on evaporators.
 - 1. Install valve so diaphragm case is warmer than bulb.
 - 2. Secure bulb to clean, straight, horizontal section of suction line using two bulb straps. Do not mount bulb in a trap or at bottom of the line.
 - 3. If external equalizer lines are required, make connection where it will reflect suction-line pressure at bulb location.
- H. Install safety relief valves where required by ASME Boiler and Pressure Vessel Code. Pipe safety-relief-valve discharge line to outside according to ASHRAE 15.
- I. Install moisture/liquid indicators in liquid line at the inlet of the thermostatic expansion valve or at the inlet of the evaporator coil capillary tube.
- J. Install strainers upstream from and adjacent to the following unless they are furnished as an integral assembly for device being protected:

- 1. Solenoid valves.
- 2. Thermostatic expansion valves.
- 3. Hot-gas bypass valves.
- 4. Compressor.
- K. Install filter dryers in liquid line between compressor and thermostatic expansion valve.
- L. Install receivers sized to accommodate pump-down charge.
- M. Install flexible connectors at compressors.

3.3 PIPING INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems; indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations. Install piping as indicated unless deviations to layout are approved on Shop Drawings.
- B. Install refrigerant piping according to ASHRAE 15.
- C. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- D. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- E. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- F. Install piping adjacent to machines to allow service and maintenance.
- G. Install piping free of sags and bends.
- H. Install fittings for changes in direction and branch connections.
- I. Select system components with pressure rating equal to or greater than system operating pressure.
- J. Refer to Division 15 Sections "HVAC Instrumentation and Controls" and "Sequence of Operation" for solenoid valve controllers, control wiring, and sequence of operation.
- K. Install piping as short and direct as possible, with a minimum number of joints, elbows, and fittings.
- L. Arrange piping to allow inspection and service of refrigeration equipment. Install valves and specialties in accessible locations to allow for service and inspection. Install access doors or panels as specified in Division 8 Section "Access Doors and Frames" if valves or equipment requiring maintenance is concealed behind finished surfaces.

- M. Install refrigerant piping in protective conduit where installed belowground.
- N. Install refrigerant piping in rigid or flexible conduit in locations where exposed to mechanical injury.
- O. Slope refrigerant piping as follows:
 - 1. Install horizontal hot-gas discharge piping with a uniform slope downward away from compressor.
 - 2. Install horizontal suction lines with a uniform slope downward to compressor.
 - 3. Install traps and double risers to entrain oil in vertical runs.
 - 4. Liquid lines may be installed level.
- P. When brazing or soldering, remove solenoid-valve coils and sight glasses; also remove valve stems, seats, and packing, and accessible internal parts of refrigerant specialties. Do not apply heat near expansion-valve bulb.
- Q. Before installation of steel refrigerant piping, clean pipe and fittings using the following procedures:
 - 1. Shot blast the interior of piping.
 - 2. Remove coarse particles of dirt and dust by drawing a clean, lintless cloth through tubing by means of a wire or electrician's tape.
 - 3. Draw a clean, lintless cloth saturated with trichloroethylene through the tube or pipe. Continue this procedure until cloth is not discolored by dirt.
 - 4. Draw a clean, lintless cloth, saturated with compressor oil, squeezed dry, through the tube or pipe to remove remaining lint. Inspect tube or pipe visually for remaining dirt and lint.
 - 5. Finally, draw a clean, dry, lintless cloth through the tube or pipe.
 - 6. Safety-relief-valve discharge piping is not required to be cleaned but is required to be open to allow unrestricted flow.
- R. Install pipe sleeves at penetrations in exterior walls and floor assemblies.
- S. Seal penetrations through fire and smoke barriers according to Division 7 Section "Through-Penetration Firestop Systems."
- T. Install piping with adequate clearance between pipe and adjacent walls and hangers or between pipes for insulation installation.
- U. Install sleeves through floors, walls, or ceilings, sized to permit installation of full-thickness insulation.
- V. Seal pipe penetrations through exterior walls according to Division 7 Section "Joint Sealants" for materials and methods.
- W. Identify refrigerant piping and valves according to Division 15 Section "Mechanical Identification."

3.4 PIPE JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- C. Fill pipe and fittings with an inert gas (nitrogen or carbon dioxide), during brazing or welding, to prevent scale formation.
- D. Soldered Joints: Construct joints according to ASTM B 828 or CDA's "Copper Tube Handbook."
- E. Brazed Joints: Construct joints according to AWS's "Brazing Handbook," Chapter "Pipe and Tube."
 - 1. Use Type BcuP, copper-phosphorus alloy for joining copper socket fittings with copper pipe.
 - 2. Use Type BAg, cadmium-free silver alloy for joining copper with bronze or steel.

3.5 HANGERS AND SUPPORTS

- A. Hanger, support, and anchor products are specified in Division 15 Section "Hangers and Supports."
- B. Install the following pipe attachments:
 - 1. Adjustable steel clevis hangers for individual horizontal runs less than 20 feet long.
 - 2. Roller hangers and spring hangers for individual horizontal runs 20 feet or longer.
 - 3. Pipe Roller: MSS SP-58, Type 44 for multiple horizontal piping 20 feet or longer, supported on a trapeze.
 - 4. Spring hangers to support vertical runs.
 - 5. Copper-clad hangers and supports for hangers and supports in direct contact with copper pipe.
- C. Install hangers for copper tubing with the following maximum spacing and minimum rod sizes:
 - 1. NPS 1/2: Maximum span, 60 inches; minimum rod size, 1/4 inch.
 - 2. NPS 5/8: Maximum span, 60 inches; minimum rod size, 1/4 inch.
 - 3. NPS 1: Maximum span, 72 inches; minimum rod size, 1/4 inch.
 - 4. NPS 1-1/4: Maximum span, 96 inches; minimum rod size, 3/8 inch.
 - 5. NPS 1-1/2: Maximum span, 96 inches; minimum rod size, 3/8 inch.
 - 6. NPS 2: Maximum span, 96 inches; minimum rod size, 3/8 inch.
 - 7. NPS 2-1/2: Maximum span, 108 inches; minimum rod size, 3/8 inch.
 - 8. NPS 3: Maximum span, 10 feet; minimum rod size, 3/8 inch.
 - 9. NPS 4: Maximum span, 12 feet; minimum rod size, 1/2 inch.

3.6 FIELD QUALITY CONTROL

- A. Perform tests and inspections and prepare test reports.
- B. Tests and Inspections:
 - 1. Comply with ASME B31.5, Chapter VI.
 - 2. Test refrigerant piping, specialties, and receivers. Isolate compressor, condenser, evaporator, and safety devices from test pressure if they are not rated above the test pressure.
 - 3. Test high- and low-pressure side piping of each system separately at not less than the pressures indicated in Part 1 "Performance Requirements" Article.
 - a. Fill system with nitrogen to the required test pressure.
 - b. System shall maintain test pressure at the manifold gage throughout duration of test.
 - c. Test joints and fittings with electronic leak detector or by brushing a small amount of soap and glycerin solution over joints.
 - d. Remake leaking joints using new materials, and retest until satisfactory results are achieved.

3.7 SYSTEM CHARGING

- A. Charge system using the following procedures:
 - 1. Install core in filter dryers after leak test but before evacuation.
 - 2. Evacuate entire refrigerant system with a vacuum pump to 500 micrometers. If vacuum holds for 12 hours, system is ready for charging.
 - 3. Break vacuum with refrigerant gas, allowing pressure to build up to 2 psig.
 - 4. Charge system with a new filter-dryer core in charging line.

3.8 ADJUSTING

- A. Adjust thermostatic expansion valve to obtain proper evaporator superheat.
- B. Adjust high- and low-pressure switch settings to avoid short cycling in response to fluctuating suction pressure.
- C. Adjust set-point temperature of air-conditioning or chilled-water controllers to the system design temperature.
- D. Perform the following adjustments before operating the refrigeration system, according to manufacturer's written instructions:
 - 1. Open shutoff valves in condenser water circuit.
 - Verify that compressor oil level is correct.
 - 3. Open compressor suction and discharge valves.
 - 4. Open refrigerant valves except bypass valves that are used for other purposes.

- 5. Check open compressor-motor alignment and verify lubrication for motors and bearings.
- E. Replace core of replaceable filter dryer after system has been adjusted and after design flow rates and pressures are established.

END OF SECTION 15183

SECTION 15195 - FACILITY NATURAL-GAS PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Pipes, tubes, and fittings.
 - 2. Piping specialties.
 - 3. Piping and tubing joining materials.
 - 4. Valves.
 - 5. Pressure regulators.

1.3 DEFINITIONS

- A. Finished Spaces: Spaces other than mechanical and electrical equipment rooms, furred spaces, pipe and duct shafts, unheated spaces immediately below roof, spaces above ceilings, unexcavated spaces, crawlspaces, and tunnels.
- B. Exposed, Interior Installations: Exposed to view indoors. Examples include finished occupied spaces and mechanical equipment rooms.
- C. Exposed, Exterior Installations: Exposed to view outdoors or subject to outdoor ambient temperatures and weather conditions. Examples include rooftop locations.

1.4 PERFORMANCE REQUIREMENTS

- A. Minimum Operating-Pressure Ratings:
 - 1. Piping and Valves: 100 psig minimum unless otherwise indicated.

1.5 SUBMITTALS

- A. Product Data: For each type of the following:
 - 1. Piping specialties.
 - 2. Valves. Include pressure rating, capacity, settings, and electrical connection data of selected models.
 - 3. Pressure regulators. Indicate pressure ratings and capacities.

- B. Welding certificates.
- C. Field quality-control reports.
- D. Operation and Maintenance Data: For pressure regulators to include in operation, and maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Steel Support Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code Steel."
- B. Pipe Welding Qualifications: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Handling Flammable Liquids: Remove and dispose of liquids from existing natural-gas piping according to requirements of authorities having jurisdiction.
- B. Deliver pipes and tubes with factory-applied end caps. Maintain end caps through shipping, storage, and handling to prevent pipe end damage and to prevent entrance of dirt, debris, and moisture.
- C. Store and handle pipes and tubes having factory-applied protective coatings to avoid damaging coating, and protect from direct sunlight.
- D. Protect stored PE pipes and valves from direct sunlight.

1.8 PROJECT CONDITIONS

- A. Perform site survey, research public utility records, and verify existing utility locations. Contact utility-locating service for area where Project is located.
- B. Interruption of Existing Natural-Gas Service: Do not interrupt natural-gas service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide purging and startup of natural-gas supply according to requirements indicated:
 - 1. Notify Owner no fewer than two days in advance of proposed interruption of natural-gas service.
 - 2. Do not proceed with interruption of natural-gas service without Owner's written permission.

1.9 COORDINATION

- A. Coordinate sizes and locations of concrete bases with actual equipment provided.
- B. Coordinate requirements for access panels and doors for valves installed concealed behind finished surfaces. Comply with requirements in Division 8 Section "Access Doors and Frames."

PART 2 - PRODUCTS

2.1 PIPES, TUBES, AND FITTINGS

- A. Steel Pipe: ASTM A 53/A 53M, black steel, Schedule 40, Type E or S, Grade B.
 - 1. Malleable-Iron Threaded Fittings: ASME B16.3, Class 150, standard pattern.
 - 2. Wrought-Steel Welding Fittings: ASTM A 234/A 234M for butt welding and socket welding.
 - 3. Unions: ASME B16.39, Class 150, malleable iron with brass-to-iron seat, ground joint, and threaded ends.
 - 4. Forged-Steel Flanges and Flanged Fittings: ASME B16.5, minimum Class 150, including bolts, nuts, and gaskets of the following material group, end connections, and facings:
 - a. Material Group: 1.1.
 - b. End Connections: Threaded or butt welding to match pipe.
 - c. Lapped Face: Not permitted underground.
 - d. Gasket Materials: ASME B16.20, metallic, flat, asbestos free, aluminum orings, and spiral-wound metal gaskets.
 - e. Bolts and Nuts: ASME B18.2.1, carbon steel aboveground and stainless steel underground.
 - 5. Protective Coating for Underground Piping: Factory-applied, three-layer coating of epoxy, adhesive, and PE.
 - a. Joint Cover Kits: Epoxy paint, adhesive, and heat-shrink PE sleeves.

2.2 PIPING SPECIALTIES

- A. Appliance Flexible Connectors:
 - 1. Indoor, Fixed-Appliance Flexible Connectors: Comply with ANSI Z21.24.
 - 2. Indoor, Movable-Appliance Flexible Connectors: Comply with ANSI Z21.69.
 - 3. Outdoor, Appliance Flexible Connectors: Comply with ANSI Z21.75.
 - 4. Corrugated stainless-steel tubing with polymer coating.
 - 5. Operating-Pressure Rating: 0.5 psig.
 - 6. End Fittings: Zinc-coated steel.
 - 7. Threaded Ends: Comply with ASME B1.20.1.
 - 8. Maximum Length: 72 inches.

2.3 JOINING MATERIALS

- A. Joint Compound and Tape: Suitable for natural gas.
- B. Welding Filler Metals: Comply with AWS D10.12/D10.12M for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.

2.4 MANUAL GAS SHUTOFF VALVES

- A. See "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles for where each valve type is applied in various services.
- B. General Requirements for Metallic Valves, NPS 2 and Smaller: Comply with ASME B16.33.
 - 1. CWP Rating: 125 psig.
 - 2. Threaded Ends: Comply with ASME B1.20.1.
 - Dryseal Threads on Flare Ends: Comply with ASME B1.20.3.
 - 4. Tamperproof Feature: Locking feature for valves indicated in "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles.
 - 5. Listing: Listed and labeled by an NRTL acceptable to authorities having jurisdiction for valves 1 inch and smaller.
 - 6. Service Mark: Valves 1-1/4 inches to NPS 2 shall have initials "WOG" permanently marked on valve body.
- C. General Requirements for Metallic Valves, NPS 2-1/2 and Larger: Comply with ASME B16.38.
 - 1. CWP Rating: 125 psig.
 - 2. Flanged Ends: Comply with ASME B16.5 for steel flanges.
 - 3. Tamperproof Feature: Locking feature for valves indicated in "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles.
 - 4. Service Mark: Initials "WOG" shall be permanently marked on valve body.
- D. One-Piece, Bronze Ball Valve with Bronze Trim: MSS SP-110.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. BrassCraft Manufacturing Company; a Masco company.
 - b. Conbraco Industries, Inc.; Apollo Div.
 - c. Lyall, R. W. & Company, Inc.
 - d. McDonald, A. Y. Mfg. Co.
 - e. Perfection Corporation; a subsidiary of American Meter Company.
 - f. Prior approved equal...

- 2. Body: Bronze, complying with ASTM B 584.
- 3. Ball: Chrome-plated brass.
- 4. Stem: Bronze; blowout proof.
- 5. Seats: Reinforced TFE; blowout proof.
- 6. Packing: Separate packnut with adjustable-stem packing threaded ends.
- 7. Ends: Threaded, flared, or socket as indicated in "Underground Manual Gas Shutoff Valve Schedule" and "Aboveground Manual Gas Shutoff Valve Schedule" Articles.
- 8. CWP Rating: 600 psig.
- 9. Listing: Valves NPS 1 and smaller shall be listed and labeled by an NRTL acceptable to authorities having jurisdiction.
- 10. Service: Suitable for natural-gas service with "WOG" indicated on valve body.

2.5 PRESSURE REGULATORS

A. General Requirements:

- 1. Single stage and suitable for natural gas.
- 2. Steel jacket and corrosion-resistant components.
- 3. Elevation compensator.
- 4. End Connections: Threaded for regulators NPS 2 and smaller; flanged for regulators NPS 2-1/2 and larger.
- B. Pressure Regulators: Comply with ANSI Z21.80.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Actaris.
 - b. American Meter Company.
 - c. Fisher Control Valves and Regulators; Division of Emerson Process Management.
 - d. Invensys.
 - e. Richards Industries; Jordan Valve Div.
 - f. Prior approved equal..
 - 2. Body and Diaphragm Case: Cast iron or die-cast aluminum.
 - 3. Springs: Zinc-plated steel; interchangeable.
 - 4. Diaphragm Plate: Zinc-plated steel.
 - 5. Seat Disc: Nitrile rubber resistant to gas impurities, abrasion, and deformation at the valve port.
 - 6. Orifice: Aluminum; interchangeable.
 - 7. Seal Plug: Ultraviolet-stabilized, mineral-filled nylon.
 - 8. Single-port, self-contained regulator with orifice no larger than required at maximum pressure inlet, and no pressure sensing piping external to the regulator.
 - 9. Pressure regulator shall maintain discharge pressure setting downstream, and not exceed 150 percent of design discharge pressure at shutoff.

- 10. Overpressure Protection Device: Factory mounted on pressure regulator.
- 11. Atmospheric Vent: Factory- or field-installed, stainless-steel screen in opening if not connected to vent piping.
- 12. Maximum Inlet Pressure: 100 psig.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine roughing-in for natural-gas piping system to verify actual locations of piping connections before equipment installation.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Close equipment shutoff valves before turning off natural gas to premises or piping section.
- B. Inspect natural-gas piping according to the International Fuel Gas Code to determine that natural-gas utilization devices are turned off in piping section affected.
- C. Comply with the International Fuel Gas Code requirements for prevention of accidental ignition.

3.3 OUTDOOR PIPING INSTALLATION

- A. Comply with the International Fuel Gas Code for installation and purging of natural-gas piping.
- B. Install underground, natural-gas piping buried at least 36 inches below finished grade. Comply with requirements in Division 2 Section "Earthwork" for excavating, trenching, and backfilling.
 - 1. If natural-gas piping is installed less than 36 inches below finished grade, install it in containment conduit.
- C. Install underground, PE, natural-gas piping according to ASTM D 2774.
- D. Install fittings for changes in direction and branch connections.
- E. Aboveground, Exterior-Wall Pipe Penetrations: Seal penetrations using sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
 - 1. Install steel pipe for sleeves smaller than 6 inches in diameter.
 - 2. Install cast-iron "wall pipes" for sleeves 6 inches and larger in diameter.

- F. Underground, Exterior-Wall Pipe Penetrations: Install cast-iron "wall pipes" for sleeves. Seal pipe penetrations using mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- G. Mechanical Sleeve Seal Installation: Select type and number of sealing elements required for pipe material and size. Position pipe in center of sleeve. Assemble mechanical sleeve seals and install in annular space between pipe and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.
- H. Install pressure gage upstream and downstream from each service regulator. Pressure gages are specified in Division 15 Section "Meters and Gages."

3.4 VALVE INSTALLATION

- A. Install manual gas shutoff valve for each gas appliance ahead of corrugated stainless-steel tubing, aluminum, or copper connector.
- B. Install underground valves with valve boxes.
- C. Install regulators and overpressure protection devices with maintenance access space adequate for servicing and testing.
- D. Install earthquake valves aboveground outside buildings according to listing.
- E. Install anode for metallic valves in underground PE piping.

3.5 PIPING JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- C. Threaded Joints:
 - 1. Thread pipe with tapered pipe threads complying with ASME B1.20.1.
 - 2. Cut threads full and clean using sharp dies.
 - 3. Ream threaded pipe ends to remove burrs and restore full inside diameter of pipe.
 - 4. Apply appropriate tape or thread compound to external pipe threads unless dryseal threading is specified.
 - 5. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.
- D. Welded Joints:

- 1. Construct joints according to AWS D10.12/D10.12M, using qualified processes and welding operators.
- 2. Bevel plain ends of steel pipe.
- 3. Patch factory-applied protective coating as recommended by manufacturer at field welds and where damage to coating occurs during construction.

3.6 HANGER AND SUPPORT INSTALLATION

- A. Install seismic restraints on piping. Comply with requirements for seismic-restraint devices specified in Division 15 Section "Vibration and Seismic Controls for HVAC Piping and Equipment."
- B. Comply with requirements for pipe hangers and supports specified in Division 15 Section "Hangers and Supports."
- C. Install hangers for horizontal steel piping with the following maximum spacing and minimum rod sizes:
 - 1. NPS 1 and Smaller: Maximum span, 96 inches; minimum rod size, 3/8 inch.
 - 2. NPS 1-1/4: Maximum span, 108 inches; minimum rod size, 3/8 inch.
 - 3. NPS 1-1/2 and NPS 2: Maximum span, 108 inches; minimum rod size, 3/8 inch.
 - 4. NPS 2-1/2 to NPS 3-1/2: Maximum span, 10 feet; minimum rod size, 1/2 inch.
 - 5. NPS 4 and Larger: Maximum span, 10 feet; minimum rod size, 5/8 inch.

3.7 CONNECTIONS

- A. Connect to utility's gas main according to utility's procedures and requirements.
- B. Install natural-gas piping electrically continuous, and bonded to gas appliance equipment grounding conductor of the circuit powering the appliance according to NFPA 70.
- C. Install piping adjacent to appliances to allow service and maintenance of appliances.
- D. Connect piping to appliances using manual gas shutoff valves and unions. Install valve within 72 inches of each gas-fired appliance and equipment. Install union between valve and appliances or equipment.
- E. Sediment Traps: Install tee fitting with capped nipple in bottom to form drip, as close as practical to inlet of each appliance.

3.8 LABELING AND IDENTIFYING

- A. Comply with requirements in Division 15 Section "Mechanical Identification" for piping and valve identification.
- B. Install detectable warning tape directly above gas piping, 12 inches below finished grade, except 6 inches below subgrade under pavements and slabs.

3.9 PAINTING

- A. Comply with requirements in Division 9 painting Sections for painting interior and exterior natural-gas piping.
- B. Paint exposed, exterior metal piping, valves, service regulators, service meters and meter bars, earthquake valves, and piping specialties, except components, with factory-applied paint or protective coating.
 - 1. Alkyd System: MPI EXT 5.1D.
 - a. Prime Coat: Alkyd anticorrosive metal primer.
 - b. Intermediate Coat: Exterior alkyd enamel matching topcoat.
 - c. Topcoat: Exterior alkyd enamel (flat).
 - d. Color: By owner.
- C. Paint exposed, interior metal piping, valves, service regulators, service meters and meter bars, earthquake valves, and piping specialties, except components, with factory-applied paint or protective coating.
 - 1. Latex Over Alkyd Primer System: MPI INT 5.1Q.
 - a. Prime Coat: Quick-drying alkyd metal primer.
 - b. Intermediate Coat: Interior latex matching topcoat.
 - c. Topcoat: Interior latex (semigloss).
 - d. Color: By owner.
- D. Damage and Touchup: Repair marred and damaged factory-applied finishes with materials and by procedures to match original factory finish.

3.10 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:
 - 1. Test, inspect, and purge natural gas according to the International Fuel Gas Code and authorities having jurisdiction.
- C. Natural-gas piping will be considered defective if it does not pass tests and inspections.
- D. Prepare test and inspection reports.

3.11 OUTDOOR PIPING SCHEDULE

- A. Aboveground natural-gas piping shall be the following:
 - 1. Steel pipe with malleable-iron fittings and threaded joints.

3.12 INDOOR PIPING SCHEDULE

- A. Aboveground, branch piping shall be the following:
 - 1. Steel pipe with malleable-iron fittings and threaded joints.
- B. Aboveground, distribution piping 2" and larger shall be the following:
 - 1. Steel pipe with wrought-steel fittings and welded joints.

3.13 ABOVEGROUND MANUAL GAS SHUTOFF VALVE SCHEDULE

- A. Valves for pipe sizes NPS 2 and smaller at service meter shall be the following:
 - 1. One-piece, bronze ball valve with bronze trim.
- B. Valves for pipe sizes NPS 2-1/2 and larger at service meter shall be one of the following:
 - 1. Two-piece, full-port, bronze ball valves with bronze trim.
 - 2. Bronze plug valve.
 - 3. Cast-iron, nonlubricated plug valve.
- C. Distribution piping valves for pipe sizes NPS 2 and smaller shall be one of the following:
 - 1. One-piece, bronze ball valve with bronze trim.
- D. Distribution piping valves for pipe sizes NPS 2-1/2 and larger shall be one of the following:
 - 1. Two-piece, full-port, bronze ball valves with bronze trim.
 - 2. Bronze plug valve.
 - 3. Cast-iron, lubricated plug valve.

END OF SECTION 15195

SECTION 15733 - PACKAGED ROOFTOP UNITS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes cooling and heating packaged rooftop units.

1.3 **DEFINITIONS**

A. DDC: Direct-digital controls.

1.4 SUBMITTALS

- A. Product Data: Include rated capacities, furnished specialties, and accessories.
- B. Shop Drawings: Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, methods of field assembly, components, and location and size of each field connection. Prepare the following by or under the supervision of a qualified professional engineer:
 - 1. Design Calculations: For selecting and designing restrained vibration isolation roof-curb rails.
 - 2. Mounting Details: For securing and flashing roof curb to roof structure. Indicate coordinating requirements with roof membrane system.
 - 3. Wiring Diagrams: Power, signal, and control wiring.
- C. Startup service reports.
- D. Operation and Maintenance Data: For rooftop units to include in operation, and maintenance manuals.
- E. Warranty: Special warranty specified in this Section.

1.5 QUALITY ASSURANCE

A. Product Options: Drawings indicate size, profiles, and dimensional requirements of rooftop units and are based on the specific system indicated. Refer to Division 1 Section "Product Requirements."

- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Units shall be designed to operate with HCFC-free refrigerants.

1.6 COORDINATION

- A. Coordinate size, installation, and structural capacity of roof curbs, equipment supports, and roof penetrations. These items are specified in Division 7 Section "Roof Accessories."
- B. Coordinate size, location, and installation of rooftop unit manufacturer's roof curbs and equipment supports with roof Installer.
 - 1. Coordinate installation of restrained vibration isolation roof-curb rails, which are specified in Division 15 Section "Mechanical Vibration and Seismic Controls."

1.7 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to replace components listed below that fail in materials or workmanship within specified warranty period.
 - 1. Warranty Period for Compressors: Manufacturer's standard, but not less than five years from date of Substantial Completion.
 - 2. Warranty Period for Heat Exchangers: Manufacturer's standard, but not less than 10 years from date of Substantial Completion.

1.8 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Fan Belts: One set for each belt-driven fan.
 - Filters: One set for each unit.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. AAON, Inc.

- 2. Carrier.
- 3. Trane.
- 4. York.
- 5. Prior approved equal.

2.2 CABINET

- A. Construction: Single wall.
- B. Exterior Casing: Galvanized steel with baked-enamel paint finish and with lifting lugs and knockouts for electrical and piping connections.
- C. Interior Casing: Galvanized steel.
- D. Base Rails: Galvanized-steel rails for mounting on roof curb.
- E. Service Doors: Hinged access doors with neoprene gaskets.
- F. Internal Insulation: Fibrous-glass duct lining complying with ASTM C 1071, Type II.
 - 1. Thickness: 1 inch.
 - 2. Insulation Adhesive: Comply with ASTM C 916, Type I.
 - 3. Mechanical Fasteners: Galvanized steel, suitable for adhesive attachment, mechanical attachment, or welding attachment to casing without damaging liner and without causing air leakage when applied as recommended by manufacturer.
- G. Condensate Drain Pans: Formed sections of galvanized-steel sheet designed for self-drainage. Fabricate pans with slopes to preclude buildup of microbial slime.

2.3 SUPPLY-AIR FAN

- A. Fan: Forward-curved centrifugal; statically and dynamically balanced, galvanized steel, mounted on solid-steel shaft with self-aligning, permanently lubricated ball bearings.
- B. Motor: Open dripproof, single-speed motor.
- C. Drive: V-belt drive with matching fan pulley and adjustable motor sheaves and belt assembly with minimum 1.4 service factor.
- D. Mounting: Fan wheel, motor, and drives shall be mounted in fan casing with elastomeric isolators.

2.4 REFRIGERATION SYSTEM

A. Fabricate and label refrigeration system to comply with ASHRAE 15, "Safety Code for Mechanical Refrigeration."

- B. Compressors: Scroll compressors with integral vibration isolators, internal overcurrent and overtemperature protection, internal pressure relief.
- C. EER and COP: as defined by ASHRAE/IESNA 90.1-2004, "Energy Efficient Design of New Buildings except Low-Rise Residential Buildings."
- D. Refrigerant: R-410A.
- E. Refrigeration System Specialties:
 - 1. Expansion valve with replaceable thermostatic element.
 - 2. Refrigerant dryer.
 - 3. High-pressure switch.
 - 4. Low-pressure switch.
 - 5. Thermostat for coil freeze-up protection during low ambient temperature operation or loss of air.
 - 6. Brass service valves installed in discharge and liquid lines.
 - 7. Operating charge of refrigerant.
- F. Refrigerant Coils: Evaporator and condenser coils shall be designed, tested, fabricated, and rated according to ARI 410 and ASHRAE 33. Coils shall be leak tested under water with air at 315 psig.
 - 1. Capacity Reduction: Circuit coils for interleaved control.
 - 2. Tubes: Copper.
 - 3. Fins: Aluminum.
 - 4. Fin and Tube Joint: Mechanical bond.
 - 5. Suction and Distributor: Seamless copper tube with brazed joints.
 - 6. Source Quality Control: Test to 450 psig, and to 300 psig underwater.
- G. Condenser Fan: Propeller type, directly driven by motor.
- H. Safety Controls:
 - 1. Compressor motor and outside-coil fan motor low ambient lockout.
 - 2. Overcurrent protection for compressor motor and outside-coil fan motors.

2.5 INDIRECT-FIRED GAS FURNACE

- A. Description: Factory assembled, piped, and wired; complying with NFPA 54, "National Fuel Gas Code," and ANSI Z21.47, "Gas-Fired Central Furnaces."
 - 1. AGA Approval: Designed and certified by and bearing label of AGA.
- B. Burners: Aluminized steel with stainless-steel inserts with a minimum thermal efficiency of 80 percent.
 - 1. Fuel: Natural gas.
 - 2. Ignition: Electronically controlled electric spark with flame sensor.
 - 3. High-Altitude Kit: For Project elevations more than 2000 feet above sea level.

- C. Heat-Exchanger Drain Pan: Stainless steel.
- D. Venting: Gravity vented.
- E. Power Vent: Integral, motorized centrifugal fan interlocked with gas valve.
- F. Safety Controls:
 - 1. Gas Control Valve: Two stage.
 - 2. Gas Train: Single-body, regulated, redundant, 24-V ac gas valve assembly containing pilot solenoid valve, pilot filter, pressure regulator, pilot shutoff, and manual shutoff.

2.6 OUTDOOR-AIR INTAKE AND DAMPERS

- A. Dampers: Leakage rate, according to AMCA 500, shall not exceed 2 percent of air quantity at face velocity of 2000 fpm through damper and pressure differential of 4-inch wg.
- B. Damper Operators: Electric.
- C. Mixing Boxes: Parallel-blade, galvanized-steel dampers mechanically fastened to steel operating rod inside cabinet. Connect operating rods with common interconnecting linkages so dampers operate simultaneously.
- D. Outdoor-Air Intake Hoods: Galvanized steel, with bird screen and finish to match cabinet.

2.7 ECONOMIZER:

- A. Provide fully modulating damper motors and controls to position outside and return air dampers so that outside air will be used to satisfy the building cooling load in the economizer cycle and minimum outside air during occupied mode.
- B. Low leakage dampers shall ride on nylon bearings.
- C. Integrated economizer control shall allow compressors to cycle for additional cooling as needed based on outdoor enthalpy.
- D. Damper actuators shall be opposing gear driven, 24 volt, fully modulating design. Plugin control board shall consist of adjustable minimum positioner, enthalpy setpoint, and DIP switches for setting type of control logic use.
- E. Outdoor air hood with filters shall be galvanized steel with a powder coat enamel paint finish electrostatically bonded to the metal.
- F. For units 5 tons and under, provide extruded aluminum gravity relief dampers to prevent blow-back and outdoor air infiltration during off cycle.

- G. For units over 5 tons, provide Centrifugal power exhaust fan which ever is standard for size of unit.
- H. Provide rainhoods and birdscreens.

2.8 FILTERS

- A. Comply with NFPA 90A.
- B. Disposable Panel Filters: 2-inch- thick, factory-fabricated, flat-panel-type, disposable air filters with holding frames.
 - 1. Media: Interlaced glass fibers sprayed with nonflammable adhesive.
 - 2. Frame: Galvanized steel.

2.9 CONTROLS

- A. Factory-wire connection for controls' power supply.
- B. Control devices, including sensors, transmitters, relays, switches, thermostats, humidistats, detectors, operators, actuators, and valves, shall be manufacturer's standard items to accomplish indicated control functions.
- C. Unit Controls: Solid-state control board and components with field-adjustable control parameters.
- D. Unit-Mounted Status Panel:
 - 1. Cooling/Off/Heating Controls: Control operational mode.
 - 2. Damper Position: Indicates position of outdoor-air dampers in terms of percentage of outdoor air.
 - 3. Status Lights:
 - a. Filter dirty.
 - b. Fan operating.
 - c. Cooling operating.
 - d. Heating operating.
- E. Refrigeration System Controls:
 - 1. Unit-mounted enthalpy controller shall lock out refrigerant system when outdoorair enthalpy is less than 28 Btu/lb of dry air or outdoor-air temperature is less than 60 deg F.
- F. Heating Controls:
 - 1. Staged Burner Control: Two steps of control.
- G. Damper Controls:

- 1. Wall-mounting pressure sensor modulates outdoor- and return-air dampers to maintain a positive pressure in space served by rooftop unit at minimum 0.05-inch wg.
- H. Integral Smoke Alarm: Smoke detector installed in supply and return air. For units 2000 cfm and larger.
- I. Programmable Thermostat: Provide 7 day programmable wireless thermostat with auto change over.

2.10 TRANSITION CURB

- A. Roof curbs shall be constructed of galvanized steel. Curbs are to be fully gasketed between the curb top and unit bottom with the curb providing full perimeter support, cross structure support and air seal for the unit.
- B. Transition to match existing curbs. Field verify exact dimensions prior to fabrication.
- C. Approved Manufacturers
 - 1. Canfab.
 - Micrometal.
 - 3. Prior approved equal.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting installation of rooftop units.
- B. Examine roughing-in for piping, ducts, and electrical systems to verify actual locations of connections before equipment installation.
- C. Examine roof curbs and equipment supports for suitable conditions where rooftop units will be installed.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION

A. Install roof curb on roof structure, according to ARI Guideline B. Install and secure rooftop units on curbs and coordinate roof penetrations and flashing with roof construction.

- B. Install restrained vibration isolation roof-curb rails on roof structure according to ARI Guideline B. Install and secure rooftop units on rails and coordinate roof penetrations and flashing with roof construction. Restrained isolation roof-curb rails are specified in Division 15 Section "Mechanical Vibration and Seismic Controls."
- C. Install wall- and duct-mounting sensors, thermostats, and humidistats furnished by manufacturers for field installation. Install control wiring and make final connections to control devices and unit control panel.

3.3 CONNECTIONS

- A. Piping installation requirements are specified in other Division 15 Sections. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Install piping adjacent to machine to allow service and maintenance.
 - 1. Gas Burner Connections: Comply with requirements in Division 15 Section "Fuel Gas Piping." Connect gas piping to burner, full size of gas train inlet, and connect with union, pressure regulator, and shutoff valve with sufficient clearance for burner removal and service.
- C. Duct Connections: Duct installation requirements are specified in Division 15 Section "Metal Ducts." Drawings indicate the general arrangement of ducts. Connect supply and return ducts to rooftop units with flexible duct connectors. Flexible duct connectors are specified in Division 15 Section "Duct Accessories."
- D. Electrical Connections: Comply with requirements in Division 16 Sections for power wiring, switches, and motor controls.
- E. Ground equipment according to Division 16 Section "Grounding and Bonding."

3.4 STARTUP SERVICE

- A. Engage a factory-authorized service representative to perform startup service.
- B. Complete installation and startup checks according to manufacturer's written instructions and perform the following:
 - 1. Inspect for visible damage to furnace combustion chamber.
 - 2. Inspect for visible damage to compressor, air-cooled outside coil, and fans.
 - 3. Inspect casing insulation for integrity, moisture content, and adhesion.
 - 4. Verify that clearances have been provided for servicing.
 - 5. Verify that controls are connected and operable.
 - 6. Verify that filters are installed.
 - 7. Clean outside coil and inspect for construction debris.
 - 8. Clean furnace flue and inspect for construction debris.
 - 9. Inspect operation of power vents.
 - 10. Purge gas line.
 - 11. Inspect and adjust vibration isolators and seismic restraints.

- 12. Verify bearing lubrication.
- 13. Inspect fan-wheel rotation for movement in correct direction without vibration and binding.
- 14. Adjust fan belts to proper alignment and tension.
- 15. Start unit.
- 16. Start refrigeration system when outdoor-air temperature is within normal operating limits.
- 17. Inspect and record performance of interlocks and protective devices including response to smoke detectors by fan controls and fire alarm.
- 18. Operate unit for run-in period.
- 19. Perform the following operations for both minimum and maximum firing and adjust burner for peak efficiency:
 - a. Measure gas pressure at manifold.
 - b. Measure combustion-air temperature at inlet to combustion chamber.
 - c. Measure flue-gas temperature at furnace discharge.
 - d. Perform flue-gas analysis. Measure and record flue-gas carbon dioxide and oxygen concentration.
 - e. Measure supply-air temperature and volume when burner is at maximum firing rate and when burner is off. Calculate useful heat to supply air.
- 20. Calibrate thermostats.
- 21. Adjust and inspect high-temperature limits.
- 22. Inspect outdoor-air dampers for proper stroke and interlock with return-air dampers.
- 23. Start refrigeration system and measure and record the following:
 - a. Coil leaving-air, dry- and wet-bulb temperatures.
 - b. Coil entering-air, dry- and wet-bulb temperatures.
 - c. Outdoor-air, dry-bulb temperature.
 - d. Outdoor-air-coil, discharge-air, dry-bulb temperature.
- 24. Verify operational sequence of controls.
- 25. Measure and record the following airflows. Plot fan volumes on fan curve.
 - a. Supply-air volume.
 - b. Return-air volume.
 - c. Outdoor-air intake volume.
- 26. Simulate maximum cooling demand and inspect the following:
 - a. Compressor refrigerant suction and hot-gas pressures.
 - b. Short circuiting of air through outside coil or from outside coil to outdoor-air intake.
- 27. Verify operation of remote panel including pilot-light operation and failure modes. Inspect the following:
 - a. High-limit heat exchanger.
 - b. Alarms.

- C. After startup and performance testing, change filters, verify bearing lubrication, and adjust belt tension.
- D. Remove and replace components that do not pass tests and inspections and retest as specified above.
- E. Prepare written report of the results of startup services.

3.5 ADJUSTING

- A. Adjust initial temperature and humidity set points.
- B. Set field-adjustable switches and circuit-breaker trip ranges as indicated.
- C. Occupancy Adjustments: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to two visits to Project outside normal occupancy hours for this purpose.

3.6 DEMONSTRATION

A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain rooftop units. Refer to Division 1 Section "Closeout Procedures and Demonstration and Training."

END OF SECTION 15733

SECTION 15738 - SPLIT-SYSTEM AIR-CONDITIONING UNITS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes split-system air-conditioning and heat pump units consisting of separate evaporator-fan and compressor-condenser components. Units are designed for exposed or concealed mounting, and may be connected to ducts.

1.3 SUBMITTALS

- A. Product Data: Include rated capacities, furnished specialties, and accessories for each type of product indicated. Include performance data in terms of capacities, outlet velocities, static pressures, sound power characteristics, motor requirements, and electrical characteristics.
- B. Shop Drawings: Diagram power, signal, and control wiring.
- C. Samples for Initial Selection: For units with factory-applied color finishes.
- D. Field quality-control test reports.
- E. Operation and Maintenance Data: For split-system air-conditioning units to include in operation, and maintenance manuals.
- F. Warranty: Special warranty specified in this Section.

1.4 QUALITY ASSURANCE

- A. Product Options: Drawings indicate size, profiles, and dimensional requirements of split-system units and are based on the specific system indicated. Refer to Division 1 Section "Product Requirements."
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Energy-Efficiency Ratio: Equal to or greater than prescribed by ASHRAE 90.1, "Energy Efficient Design of New Buildings except Low-Rise Residential Buildings."

- D. Coefficient of Performance: Equal to or greater than prescribed by ASHRAE 90.1, "Energy Efficient Design of New Buildings except Low-Rise Residential Buildings."
- E. Units shall be designed to operate with HCFC-free refrigerants.

1.5 COORDINATION

- A. Coordinate size and location of concrete bases for units. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork are specified in Division 3 Section "Cast-in-Place Concrete."
- B. Coordinate size, location, and connection details with roof curbs, equipment supports, and roof penetrations specified in Division 7 Section "Roof Accessories."

1.6 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of split-system air-conditioning units that fail in materials or workmanship within specified warranty period.
 - 1. Warranty Period: Five years from date of Substantial Completion.

1.7 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Filters: One set of filters for each unit.
 - Fan Belts: One set of belts for each unit.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Carrier Air Conditioning; Div. of Carrier Corporation.
 - 2. Fujitsu.
 - 3. Mitsubishi.
 - 4. Sanyo Fisher (U.S.A.) Corp..
 - 5. Trane Company (The); Unitary Products Group.
 - 6. Prior approved equal.

2.2 WALL-MOUNTING, EVAPORATOR-FAN COMPONENTS

- A. Cabinet: Enameled steel with removable panels on front and ends in color selected by Architect, and discharge drain pans with drain connection.
- B. Refrigerant Coil: Copper tube, with mechanically bonded aluminum fins, complying with ARI 210/240, and with thermal-expansion valve.
- C. Electric Coil: Helical, nickel-chrome, resistance-wire heating elements with refractory ceramic support bushings; automatic-reset thermal cutout; built-in magnetic contactors; manual-reset thermal cutout; airflow proving device; and one-time fuses in terminal box for overcurrent protection.
- D. Fan: Direct drive, centrifugal fan.
- E. Fan Motors: Comply with requirements in Division 15 Section "Motors."
 - 1. Special Motor Features: Multitapped, multispeed with internal thermal protection and permanent lubrication.
- F. Filters: Permanent, cleanable.

2.3 AIR-COOLED, COMPRESSOR-CONDENSER COMPONENTS

- A. Casing: Steel, finished with baked enamel in color selected by Architect, with removable panels for access to controls, weep holes for water drainage, and mounting holes in base. Provide brass service valves, fittings, and gage ports on exterior of casing.
- B. Compressor: Hermetically sealed with crankcase heater and mounted on vibration isolation. Compressor motor shall have thermal- and current-sensitive overload devices, start capacitor, relay, and contactor.
 - 1. Compressor Type: Scroll.
 - 2. Two-speed compressor motor with manual-reset high-pressure switch and automatic-reset low-pressure switch.
 - 3. Refrigerant Charge: R-410A.
- C. Refrigerant Coil: Copper tube, with mechanically bonded aluminum fins, complying with ARI 210/240, and with liquid subcooler.
- D. Heat Pump Components: Reversing valve and low-temperature air cut-off thermostat.
- E. Fan: Aluminum-propeller type, directly connected to motor.
- F. Motor: Permanently lubricated, with integral thermal-overload protection.
- G. Low Ambient Kit: Permits operation down to 0 deg F.
- H. Mounting Base: Polyethylene.

2.4 ACCESSORIES

- A. Control equipment and sequence of operation are specified in Division 15 Sections "HVAC Instrumentation and Controls" and "Sequence of Operation."
- B. Thermostat: Wireless infrared functioning to remotely control compressor and evaporator fan, with the following features:
 - 1. Compressor time delay.
 - 2. 24-hour time control of system stop and start.
 - 3. Liquid-crystal display indicating temperature, set-point temperature, time setting, operating mode, and fan speed.
 - 4. Fan-speed selection, including auto setting.
- C. Automatic-reset timer to prevent rapid cycling of compressor.
- D. Coil Freeze stat.
- E. Refrigerant Line Kits: Soft-annealed copper suction and liquid lines factory cleaned, dried, pressurized, and sealed; factory-insulated suction line with flared fittings at both ends.
- F. Additional Monitoring:
 - 1. Monitor constant and variable motor loads.
 - 2. Monitor variable frequency drive operation.
 - 3. Monitor economizer cycle.
 - 4. Monitor cooling load.
 - 5. Monitor air distribution static pressure and ventilation air volumes.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install units level and plumb.
- B. Install evaporator-fan components using manufacturer's standard mounting devices securely fastened to building structure.
- C. Install ground-mounting, compressor-condenser components on 4-inch- thick, reinforced concrete base; 4 inches larger on each side than unit. Concrete, reinforcement, and formwork are specified in Division 3 Section "Cast-in-Place Concrete." Coordinate anchor installation with concrete base.
- D. Install ground-mounting, compressor-condenser components on polyethylene mounting base.

- E. Install roof-mounting compressor-condenser components on equipment supports specified in Division 7 Section "Roof Accessories." Anchor units to supports with removable, cadmium-plated fasteners.
- F. Install seismic restraints.
- G. Install compressor-condenser components on restrained, spring isolators with a minimum static deflection of [1 inch] <Insert other static deflection>. Refer to Division 15 Section "Mechanical Vibration Controls and Seismic Restraints."
- H. Install and connect precharged refrigerant tubing to component's quick-connect fittings. Install tubing to allow access to unit.

3.2 CONNECTIONS

- A. Piping installation requirements are specified in other Division 15 Sections. Drawings indicate general arrangement of piping, fittings, and specialties.
 - 1. Water Coil Connections: Comply with requirements in Division 15 Section "Hydronic Piping." Connect to supply and return coil with shutoff-duty valve and union or flange on the supply connection and with throttling-duty valve and union or flange on the return connection.
 - 2. Remote Water-Cooled Condenser Connections: Comply with requirements in Division 15 Section "Hydronic Piping." Connect to supply and return with shutoff-duty valve and union or flange on the supply connection and with throttling-duty valve and union or flange on the return connection.
 - 3. Steam Coil Connections: Comply with requirements in Division 15 Section "Steam and Condensate Piping." Connect to steam piping with shutoff valve and union or flange; for condensate piping, starting from the coil connection, connect with union or flange, strainer, trap, and shutoff valve.
- B. Install piping adjacent to unit to allow service and maintenance.
- C. Ground equipment according to Division 16 Section "Grounding and Bonding."
- D. Electrical Connections: Comply with requirements in Division 16 Sections for power wiring, switches, and motor controls.

3.3 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect, test, and adjust field-assembled components and equipment installation, including connections, and to assist in field testing. Report results in writing.
- B. Perform the following field tests and inspections and prepare test reports:
 - 1. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.

- 2. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation.
- 3. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- C. Remove and replace malfunctioning units and retest as specified above.

3.4 STARTUP SERVICE

- A. Engage a factory-authorized service representative to perform startup service.
 - 1. Complete installation and startup checks according to manufacturer's written instructions.

3.5 DEMONSTRATION

A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain units. Refer to Division 1 Section "."

END OF SECTION 15738

SECTION 15820 - DUCT ACCESSORIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes the following:
 - 1. Flexible connectors.
 - 2. Duct accessory hardware.
- B. Related Sections include the following:
 - 1. Division 15 Section "HVAC Instrumentation and Controls" for electric and pneumatic damper actuators.

1.3 SUBMITTALS

- A. Product Data: For the following:
 - Flexible connectors.

1.4 QUALITY ASSURANCE

A. Comply with NFPA 90A, "Installation of Air Conditioning and Ventilating Systems," and NFPA 90B, "Installation of Warm Air Heating and Air Conditioning Systems."

PART 2 - PRODUCTS

2.1 SHEET METAL MATERIALS

- A. Comply with SMACNA's "HVAC Duct Construction Standards--Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods, unless otherwise indicated.
- B. Galvanized Sheet Steel: Lock-forming quality; complying with ASTM A 653/A 653M and having G60 coating designation; ducts shall have mill-phosphatized finish for surfaces exposed to view.

- C. Reinforcement Shapes and Plates: Galvanized-steel reinforcement where installed on galvanized sheet metal ducts; compatible materials for aluminum and stainless-steel ducts.
- D. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

2.2 FLEXIBLE CONNECTORS

A. Manufacturers:

- 1. Ductmate Industries, Inc.
- 2. Duro Dyne Corp.
- 3. Ventfabrics, Inc.
- 4. Ward Industries, Inc.
- 5. Prior approved equal.
- B. General Description: Flame-retardant or noncombustible fabrics, coatings, and adhesives complying with UL 181, Class 1.
- C. Metal-Edged Connectors: Factory fabricated with a fabric strip 3-1/2 inches wide attached to two strips of 2-3/4-inch- wide, 0.028-inch- thick, galvanized sheet steel or 0.032-inch- thick aluminum sheets. Select metal compatible with ducts.
- D. Indoor System, Flexible Connector Fabric: Glass fabric double coated with neoprene.
 - 1. Minimum Weight: 26 oz./sq. yd..
 - 2. Tensile Strength: 480 lbf/inch in the warp and 360 lbf/inch in the filling.
 - 3. Service Temperature: Minus 40 to plus 200 deg F.
- E. Outdoor System, Flexible Connector Fabric: Glass fabric double coated with weatherproof, synthetic rubber resistant to UV rays and ozone.
 - 1. Minimum Weight: 24 oz./sq. yd..
 - 2. Tensile Strength: 530 lbf/inch in the warp and 440 lbf/inch in the filling.
 - 3. Service Temperature: Minus 50 to plus 250 deg F.

2.3 DUCT ACCESSORY HARDWARE

- A. Instrument Test Holes: Cast iron or cast aluminum to suit duct material, including screw cap and gasket. Size to allow insertion of pitot tube and other testing instruments and of length to suit duct insulation thickness.
- B. Adhesives: High strength, quick setting, neoprene based, waterproof, and resistant to gasoline and grease.

PART 3 - EXECUTION

3.1 APPLICATION AND INSTALLATION

- A. Install duct accessories according to applicable details in SMACNA's "HVAC Duct Construction Standards--Metal and Flexible" for metal ducts and in NAIMA AH116, "Fibrous Glass Duct Construction Standards," for fibrous-glass ducts.
- B. Provide duct accessories of materials suited to duct materials; use galvanized-steel accessories in galvanized-steel and fibrous-glass ducts, stainless-steel accessories in stainless-steel ducts, and aluminum accessories in aluminum ducts.
- C. Install backdraft dampers on exhaust fans or exhaust ducts nearest to outside and where indicated.
- D. Install volume dampers in ducts with liner; avoid damage to and erosion of duct liner.
- E. Provide balancing dampers at points on supply, return, and exhaust systems where branches lead from larger ducts as required for air balancing. Install at a minimum of two duct widths from branch takeoff.
- F. Provide test holes at fan inlets and outlets and elsewhere as indicated.
- G. Install fire and smoke dampers, with fusible links, according to manufacturer's UL-approved written instructions.
- H. Install duct access doors to allow for inspecting, adjusting, and maintaining accessories and terminal units as follows:
 - 1. On both sides of duct coils.
 - 2. Adjacent to fire or smoke dampers, providing access to reset or reinstall fusible links.
 - 3. To interior of ducts for cleaning; before and after each change in direction, at maximum 50-foot spacing.
 - 4. On sides of ducts where adequate clearance is available.
- I. Install the following sizes for duct-mounting, rectangular access doors:
 - 1. One-Hand or Inspection Access: 8 by 5 inches.
 - 2. Two-Hand Access: 12 by 6 inches.
 - 3. Head and Hand Access: 18 by 10 inches.
 - 4. Head and Shoulders Access: 21 by 14 inches.
 - 5. Body Access: 25 by 14 inches.
 - 6. Body Plus Ladder Access: 25 by 17 inches.
- J. Install the following sizes for duct-mounting, round access doors:
 - 1. One-Hand or Inspection Access: 8 inches in diameter.
 - 2. Two-Hand Access: 10 inches in diameter.
 - 3. Head and Hand Access: 12 inches in diameter.

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- 4. Head and Shoulders Access: 18 inches in diameter.
- 5. Body Access: 24 inches in diameter.
- K. Label access doors according to Division 15 Section "Mechanical Identification."
- L. Install flexible connectors immediately adjacent to equipment in ducts associated with fans and motorized equipment supported by vibration isolators.
- M. For fans developing static pressures of 5-inch wg and higher, cover flexible connectors with loaded vinyl sheet held in place with metal straps.

3.2 ADJUSTING

- A. Adjust duct accessories for proper settings.
- B. Adjust fire and smoke dampers for proper action.
- C. Final positioning of manual-volume dampers is specified in Division 15 Section "Testing, Adjusting, and Balancing."

END OF SECTION 15820

SECTION 15900 - HVAC INSTRUMENTATION AND CONTROLS ADDITIVE ALTERNATE #1

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes control equipment for HVAC systems and components, including control components for terminal heating and cooling units not supplied with factorywired controls.
- B. Provide a new DDC controls system for all new and existing HVAC equipment at this building. Tie into existing central system at UDOT facility.

1.3 DEFINITIONS

- A. DDC: Direct digital control.
- B. I/O: Input/output.
- C. LonWorks: A control network technology platform for designing and implementing interoperable control devices and networks.
- D. MS/TP: Master slave/token passing.
- E. PC: Personal computer.
- F. PID: Proportional plus integral plus derivative.
- G. RTD: Resistance temperature detector.

1.4 SYSTEM PERFORMANCE

- A. Comply with the following performance requirements:
 - 1. Graphic Display: Display graphic with minimum 20 dynamic points with current data within 10 seconds.
 - 2. Graphic Refresh: Update graphic with minimum 20 dynamic points with current data within 8 seconds.
 - 3. Object Command: Reaction time of less than two seconds between operator command of a binary object and device reaction.

- 4. Object Scan: Transmit change of state and change of analog values to control units or workstation within six seconds.
- 5. Alarm Response Time: Annunciate alarm at workstation within 45 seconds. Multiple workstations must receive alarms within five seconds of each other.
- 6. Program Execution Frequency: Run capability of applications as often as five seconds, but selected consistent with mechanical process under control.
- 7. Performance: Programmable controllers shall execute DDC PID control loops, and scan and update process values and outputs at least once per second.
- 8. Reporting Accuracy and Stability of Control: Report values and maintain measured variables within tolerances as follows:
 - a. Water Temperature: Plus or minus 1 deg F.
 - b. Water Flow: Plus or minus 5 percent of full scale.
 - c. Water Pressure: Plus or minus 2 percent of full scale.
 - d. Space Temperature: Plus or minus 1 deg F.
 - e. Ducted Air Temperature: Plus or minus 1 deg F.
 - f. Outside Air Temperature: Plus or minus 2 deg F.
 - g. Dew Point Temperature: Plus or minus 3 deg F.
 - h. Temperature Differential: Plus or minus 0.25 deg F.
 - i. Relative Humidity: Plus or minus 5 percent.
 - j. Airflow (Pressurized Spaces): Plus or minus 3 percent of full scale.
 - k. Airflow (Measuring Stations): Plus or minus 5 percent of full scale.
 - I. Airflow (Terminal): Plus or minus 10 percent of full scale.
 - m. Air Pressure (Space): Plus or minus 0.01-inch wg.
 - n. Air Pressure (Ducts): Plus or minus 0.1-inch wg.
 - o. Carbon Monoxide: Plus or minus 5 percent of reading.
 - p. Carbon Dioxide: Plus or minus 50 ppm.
 - q. Electrical: Plus or minus 5 percent of reading.

1.5 SUBMITTALS

- A. Product Data: Include manufacturer's technical literature for each control device. Indicate dimensions, capacities, performance characteristics, electrical characteristics, finishes for materials, and installation and startup instructions for each type of product indicated.
 - 1. DDC System Hardware: Bill of materials of equipment indicating quantity, manufacturer, and model number. Include technical data for operator workstation equipment, interface equipment, control units, transducers/transmitters, sensors, actuators, valves, relays/switches, control panels, and operator interface equipment.
 - 2. Control System Software: Include technical data for operating system software, operator interface, color graphics, and other third-party applications.
 - 3. Controlled Systems: Instrumentation list with element name, type of device, manufacturer, model number, and product data. Include written description of sequence of operation including schematic diagram.

- B. Shop Drawings: Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
 - 1. Bill of materials of equipment indicating quantity, manufacturer, and model number.
 - 2. Schematic flow diagrams showing fans, pumps, coils, dampers, valves, and control devices.
 - 3. Wiring Diagrams: Power, signal, and control wiring.
 - 4. Details of control panel faces, including controls, instruments, and labeling.
 - 5. Written description of sequence of operation.
 - 6. Schedule of dampers including size, leakage, and flow characteristics.
 - 7. Schedule of valves including flow characteristics.
 - 8. DDC System Hardware:
 - a. Wiring diagrams for control units with termination numbers.
 - b. Schematic diagrams and floor plans for field sensors and control hardware.
 - c. Schematic diagrams for control, communication, and power wiring, showing trunk data conductors and wiring between operator workstation and control unit locations.
 - Control System Software: List of color graphics indicating monitored systems, data (connected and calculated) point addresses, output schedule, and operator notations.
 - 10. Controlled Systems:
 - a. Schematic diagrams of each controlled system with control points labeled and control elements graphically shown, with wiring.
 - b. Scaled drawings showing mounting, routing, and wiring of elements including bases and special construction.
 - c. Written description of sequence of operation including schematic diagram.
 - d. Points list.
- C. Data Communications Protocol Certificates: Certify that each proposed DDC system component complies with ASHRAE 135.
- D. Data Communications Protocol Certificates: Certify that each proposed DDC system component complies with LonWorks.
- E. Software and Firmware Operational Documentation: Include the following:
 - 1. Software operating and upgrade manuals.
 - 2. Program Software Backup: On a magnetic media or compact disc, complete with data files.
 - 3. Device address list.
 - 4. Printout of software application and graphic screens.
 - 5. Software license required by and installed for DDC workstations and control systems.

- F. Software Upgrade Kit: For Owner to use in modifying software to suit future systems revisions or monitoring and control revisions.
- G. Qualification Data: For Installer and manufacturer.
- H. Field quality-control test reports.
- I. Operation and Maintenance Data: For HVAC instrumentation and control system to include in emergency, operation, and maintenance manuals. In addition to items specified in Division 1 Section "Operation and Maintenance Data," include the following:
 - 1. Maintenance instructions and lists of spare parts for each type of control device and compressed-air station.
 - 2. Interconnection wiring diagrams with identified and numbered system components and devices.
 - Keyboard illustrations and step-by-step procedures indexed for each operator function.
 - 4. Inspection period, cleaning methods, cleaning materials recommended, and calibration tolerances.
 - 5. Calibration records and list of set points.

1.6 QUALITY ASSURANCE

- A. Installer Qualifications: Automatic control system manufacturer's authorized representative who is trained and approved for installation of system components required for this Project.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Comply with ASHRAE 135 for DDC system components.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Factory-Mounted Components: Where control devices specified in this Section are indicated to be factory mounted on equipment, arrange for shipping of control devices to equipment manufacturer.
- B. System Software: Update to latest version of software at Project completion.

1.8 COORDINATION

A. Coordinate location of thermostats, humidistats, and other exposed control sensors with plans and room details before installation.

- B. Coordinate equipment with Division 13 Section "Intrusion Detection" to achieve compatibility with equipment that interfaces with that system and with building master clock.
- C. Coordinate equipment with Division 13 Section "Security Access" to achieve compatibility with equipment that interfaces with that system.
- D. Coordinate equipment with Division 13 Section "Clock and Program Control" to achieve compatibility with equipment that interfaces with that system.
- E. Coordinate equipment with Division 13 Section "Detention Monitoring and Control (PLC Based)" to achieve compatibility with equipment that interfaces with that system.
- F. Coordinate equipment with Division 13 Section "Lighting Controls" to achieve compatibility with equipment that interfaces with that system.
- G. Coordinate equipment with Division 13 Section "Fire Alarm" to achieve compatibility with equipment that interfaces with that system.
- H. Coordinate supply of conditioned electrical branch circuits for control units and operator workstation.
- I. Coordinate equipment with Division 16 Section "Electrical Power Monitoring and Control" to achieve compatibility of communication interfaces.
- J. Coordinate equipment with Division 16 Section "Panelboards" to achieve compatibility with starter coils and annunciation devices.
- K. Coordinate equipment with Division 16 Section "Motor-Control Centers" to achieve compatibility with motor starters and annunciation devices.
- L. Coordinate size and location of concrete bases. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified in Division 3 Section "Cast-in-Place Concrete."

1.9 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Replacement Materials: One replacement diaphragm or relay mechanism for each unique controller.
 - 2. Maintenance Materials: One thermostat adjusting key(s).

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. In other Part 2 articles where titles below introduce lists, the following requirements apply to product selection:
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the manufacturers specified.

2.2 CONTROL SYSTEM

- A. Manufacturers:
 - 1. TAC or Invensys by Utah Yamas Controls.
 - 2. Prior approved equal.
- B. Control system shall consist of sensors, indicators, actuators, final control elements, interface equipment, other apparatus, and accessories to control mechanical systems.
- C. Control system shall consist of sensors, indicators, actuators, final control elements, interface equipment, other apparatus, accessories, and software connected to distributed controllers operating in multiuser, multitasking environment on token-passing network and programmed to control mechanical systems. An operator workstation permits interface with the network via dynamic color graphics with each mechanical system, building floor plan, and control device depicted by point-and-click graphics.
- D. Control system shall include the following:
 - 1. Building intrusion detection system specified in Division 13 Section "Intrusion Detection."
 - 2. Building clock control system specified in Division 13 Section "Clock and Program Control."
 - 3. Building lighting control system specified in Division 13 Section "Lighting Controls."
 - 4. Fire alarm system specified in Division 13 Section "Fire Alarm."

2.3 DDC EQUIPMENT

- A. Operator Workstation: Portable notebook style PC-based laptop with minimum configuration as follows:
 - 1. Motherboard: With 8 integrated USB 2.0 ports, integrated Intel Pro 10/100 (Ethernet), integrated audio, bios, and hardware monitoring.
 - 2. Processor: Intel Pentium 4, MHz.
 - 3. Random-Access Memory: 512 MB.

- 4. Graphics: Video adapter, minimum 1600 x 1200 pixels, 64-MB video memory, with TV out.
- 5. Monitor: 17 inches, LCD color.
- 6. Keyboard: QWERTY, 105 keys in ergonomic shape.
- 7. Floppy-Disk Drive: 1.44 MB.
- 8. Hard-Disk Drive: 80 GB.
- 9. CD-ROM Read/Write Drive: 48x24x48.
- 10. Mouse: Three button, optical.
- 11. Operating System: Microsoft Windows XP Professional with high-speed Internet access.
- 12. Printer: Color, ink-jet type as follows:
 - a. Print Head: 4800 x 1200 dpi optimized color resolution.
 - b. Paper Handling: Minimum of 100 sheets.
 - c. Print Speed: Minimum of 17 ppm in black and 12 ppm in color.

13. Application Software:

- a. I/O capability from operator station.
- b. System security for each operator via software password and access levels.
- c. Automatic system diagnostics; monitor system and report failures.
- d. Database creation and support.
- e. Automatic and manual database save and restore.
- f. Dynamic color graphic displays with up to 10 screen displays at once.
- g. Custom graphics generation and graphics library of HVAC equipment and symbols.
- h. Alarm processing, messages, and reactions.
- i. Trend logs retrievable in spreadsheets and database programs.
- j. Alarm and event processing.
- k. Object and property status and control.
- I. Automatic restart of field equipment on restoration of power.
- m. Data collection, reports, and logs. Include standard reports for the following:
 - 1) Current values of all objects.
 - 2) Current alarm summary.
 - 3) Disabled objects.
 - 4) Alarm lockout objects.
 - 5) Logs.
- n. Custom report development.
- o. Utility and weather reports.
- p. ASHRAE Guideline 3 report.
- q. Workstation application editors for controllers and schedules.
- r. Maintenance management.
- 14. Custom Application Software:

- a. English language oriented.
- b. Full-screen character editor/programming environment.
- c. Allow development of independently executing program modules with debugging/simulation capability.
- d. Support conditional statements.
- e. Support floating-point arithmetic with mathematic functions.
- f. Contains predefined time variables.
- B. Control Units: Modular, comprising processor board with programmable, nonvolatile, random-access memory; local operator access and display panel; integral interface equipment; and backup power source.
 - 1. Units monitor or control each I/O point; process information; execute commands from other control units, devices, and operator stations; and download from or upload to operator workstation or diagnostic terminal unit.
 - 2. Stand-alone mode control functions operate regardless of network status. Functions include the following:
 - a. Global communications.
 - b. Discrete/digital, analog, and pulse I/O.
 - c. Monitoring, controlling, or addressing data points.
 - d. Software applications, scheduling, and alarm processing.
 - e. Testing and developing control algorithms without disrupting field hardware and controlled environment.

3. Standard Application Programs:

- a. Electric Control Programs: Demand limiting, duty cycling, automatic time scheduling, start/stop time optimization, night setback/setup, on-off control with differential sequencing, staggered start, antishort cycling, PID control, DDC with fine tuning, and trend logging.
- b. HVAC Control Programs: Optimal run time, supply-air reset, and enthalpy switchover.
- c. Chiller Control Programs: Control function of condenser-water reset, chilled-water reset, and equipment sequencing.
- d. Programming Application Features: Include trend point; alarm processing and messaging; weekly, monthly, and annual scheduling; energy calculations; run-time totalization; and security access.
- e. Remote communications.
- f. Maintenance management.
- g. Units of Measure: Inch-pound and SI (metric).
- 4. Local operator interface provides for download from or upload to operator workstation or diagnostic terminal unit.
- 5. ASHRAE 135 Compliance: Control units shall use ASHRAE 135 protocol and communicate using ISO 8802-3 (Ethernet) datalink/physical layer protocol.
- 6. LonWorks Compliance: Control units shall use LonTalk protocol and communicate using EIA/CEA 709.1 datalink/physical layer protocol.

- C. Local Control Units: Modular, comprising processor board with electronically programmable, nonvolatile, read-only memory; and backup power source.
 - 1. Units monitor or control each I/O point, process information, and download from or upload to operator workstation or diagnostic terminal unit.
 - 2. Stand-alone mode control functions operate regardless of network status. Functions include the following:
 - a. Global communications.
 - b. Discrete/digital, analog, and pulse I/O.
 - c. Monitoring, controlling, or addressing data points.
 - 3. Local operator interface provides for download from or upload to operator workstation or diagnostic terminal unit.
 - 4. ASHRAE 135 Compliance: Control units shall use ASHRAE 135 protocol and communicate using ISO 8802-3 (Ethernet) datalink/physical layer protocol.
 - 5. LonWorks Compliance: Control units shall use LonTalk protocol and communicate using EIA/CEA 709.1 datalink/physical layer protocol.
- D. I/O Interface: Hardwired inputs and outputs may tie into system through controllers. Protect points so that shorting will cause no damage to controllers.
 - 1. Binary Inputs: Allow monitoring of on-off signals without external power.
 - 2. Pulse Accumulation Inputs: Accept up to 10 pulses per second.
 - 3. Analog Inputs: Allow monitoring of low-voltage (0- to 10-V dc), current (4 to 20 mA), or resistance signals.
 - 4. Binary Outputs: Provide on-off or pulsed low-voltage signal, selectable for normally open or normally closed operation with three-position (on-off-auto) override switches and status lights.
 - 5. Analog Outputs: Provide modulating signal, either low voltage (0- to 10-V dc) or current (4 to 20 mA) with status lights, two-position (auto-manual) switch, and manually adjustable potentiometer.
 - 6. Tri-State Outputs: Provide two coordinated binary outputs for control of three-point, floating-type electronic actuators.
 - 7. Universal I/Os: Provide software selectable binary or analog outputs.
- E. Power Supplies: Transformers with Class 2 current-limiting type or overcurrent protection; limit connected loads to 80 percent of rated capacity. DC power supply shall match output current and voltage requirements and be full-wave rectifier type with the following:
 - 1. Output ripple of 5.0 mV maximum peak to peak.
 - 2. Combined 1 percent line and load regulation with 100-mic.sec. response time for 50 percent load changes.
 - 3. Built-in overvoltage and overcurrent protection and be able to withstand 150 percent overload for at least 3 seconds without failure.
- F. Power Line Filtering: Internal or external transient voltage and surge suppression for workstations or controllers with the following:

- 1. Minimum dielectric strength of 1000 V.
- 2. Maximum response time of 10 nanoseconds.
- 3. Minimum transverse-mode noise attenuation of 65 dB.
- 4. Minimum common-mode noise attenuation of 150 dB at 40 to 100 Hz.

2.4 UNITARY CONTROLLERS

- A. Unitized, capable of stand-alone operation with sufficient memory to support its operating system, database, and programming requirements, and with sufficient I/O capacity for the application.
 - 1. Configuration: Local keypad and display; diagnostic LEDs for power, communication, and processor; wiring termination to terminal strip or card connected with ribbon cable; memory with bios; and 72-hour battery backup.
 - 2. Operating System: Manage I/O communication to allow distributed controllers to share real and virtual object information and allow central monitoring and alarms. Perform scheduling with real-time clock. Perform automatic system diagnostics; monitor system and report failures.
 - 3. Enclosure: Dustproof rated for operation at 32 to 120 deg F.
 - 4. Enclosure: Waterproof rated for operation at 40 to 150 deg F.

2.5 ALARM PANELS

- A. Unitized cabinet with suitable brackets for wall or floor mounting. Fabricate of 0.06-inch- thick, furniture-quality steel or extruded-aluminum alloy, totally enclosed, with hinged doors and keyed lock and with manufacturer's standard shop-painted finish. Provide common keying for all panels.
- B. Indicating light for each alarm point, single horn, acknowledge switch, and test switch, mounted on hinged cover.
 - 1. Alarm Condition: Indicating light flashes and horn sounds.
 - 2. Acknowledge Switch: Horn is silent and indicating light is steady.
 - 3. Second Alarm: Horn sounds and indicating light is steady.
 - 4. Alarm Condition Cleared: System is reset and indicating light is extinguished.
 - 5. Contacts in alarm panel allow remote monitoring by independent alarm company.

2.6 ELECTRONIC SENSORS

- A. Description: Vibration and corrosion resistant; for wall, immersion, or duct mounting as required.
- B. Room Sensor Cover Construction: Manufacturer's standard locking covers.
 - 1. Set-Point Adjustment: Exposed.
 - 2. Set-Point Indication: Exposed.
 - 3. Thermometer: Exposed.

- C. Room sensor accessories include the following:
 - 1. Insulating Bases: For sensors located on exterior walls.
 - 2. Guards: Metal wire, tamperproof.
 - 3. Adjusting Key: As required for calibration and cover screws.

2.7 ACTUATORS

- A. Electric Motors: Size to operate with sufficient reserve power to provide smooth modulating action or two-position action.
 - 1. Comply with requirements in Division 15 Section "Motors."
 - 2. Permanent Split-Capacitor or Shaded-Pole Type: Gear trains completely oil immersed and sealed. Equip spring-return motors with integral spiral-spring mechanism in housings designed for easy removal for service or adjustment of limit switches, auxiliary switches, or feedback potentiometer.
 - 3. Nonspring-Return Motors for Valves Larger Than NPS 2-1/2: Size for running torque of 150 in. x lbf and breakaway torque of 300 in. x lbf.
 - 4. Spring-Return Motors for Valves Larger Than NPS 2-1/2: Size for running and breakaway torque of 150 in. x lbf.
 - 5. Nonspring-Return Motors for Dampers Larger Than 25 Sq. Ft.: Size for running torque of 150 in. x lbf and breakaway torque of 300 in. x lbf.
 - 6. Spring-Return Motors for Dampers Larger Than 25 Sq. Ft.: Size for running and breakaway torque of 150 in. x lbf.
- B. Electronic Actuators: Direct-coupled type designed for minimum 60,000 full-stroke cycles at rated torque.
 - 1. Manufacturers:
 - a. Belimo Aircontrols (USA), Inc.
 - b. Or equal by.
 - 2. Valves: Size for torque required for valve close off at maximum pump differential pressure.
 - 3. Dampers: Size for running torque calculated as follows:
 - a. Parallel-Blade Damper with Edge Seals: 7 inch-lb/sq. ft. of damper.
 - b. Opposed-Blade Damper with Edge Seals: 5 inch-lb/sq. ft. of damper.
 - c. Parallel-Blade Damper without Edge Seals: 4 inch-lb/sg. ft of damper.
 - d. Opposed-Blade Damper without Edge Seals: 3 inch-lb/sq. ft. of damper.
 - e. Dampers with 2- to 3-Inch wg of Pressure Drop or Face Velocities of 1000 to 2500 fpm: Increase running torque by 1.5.
 - f. Dampers with 3- to 4-Inch wg of Pressure Drop or Face Velocities of 2500 to 3000 fpm: Increase running torque by 2.0.
 - 4. Coupling: V-bolt and V-shaped, toothed cradle.
 - 5. Overload Protection: Electronic overload or digital rotation-sensing circuitry.

- 6. Fail-Safe Operation: Mechanical, spring-return mechanism. Provide external, manual gear release on nonspring-return actuators.
- 7. Power Requirements (Two-Position Spring Return): 24-V ac.
- Power Requirements (Modulating): Maximum 10 VA at 24-V ac or 8 W at 24-V dc.
- 9. Proportional Signal: 2- to 10-V dc or 4 to 20 mA, and 2- to 10-V dc position feedback signal.
- 10. Temperature Rating: 40 to 104 deg F.
- 11. Temperature Rating (Smoke Dampers): Minus 22 to plus 250 deg F.
- 12. Run Time: 12 seconds open, 5 seconds closed.

2.8 DAMPERS

A. Manufacturers:

- 1. Air Balance Inc.
- 2. Don Park Inc.; Autodamp Div.
- 3. TAMCO (T. A. Morrison & Co. Inc.).
- 4. United Enertech Corp.
- 5. Vent Products Company, Inc.
- 6. Ruskin.
- 7. Pottorf.
- 8. Or equal by.
- B. Dampers: AMCA-rated, opposed-blade design; 0.108-inch- minimum thick, galvanized-steel or 0.125-inch- minimum thick, extruded-aluminum frames with holes for duct mounting; damper blades shall not be less than 0.064-inch- thick galvanized steel with maximum blade width of 8 inches and length of 48 inches.
 - 1. Secure blades to 1/2-inch- diameter, zinc-plated axles using zinc-plated hardware, with oil-impregnated sintered bronze blade bearings, blade-linkage hardware of zinc-plated steel and brass, ends sealed against spring-stainless-steel blade bearings, and thrust bearings at each end of every blade.
 - 2. Operating Temperature Range: From minus 40 to plus 200 deg F.
 - 3. Edge Seals, Standard Pressure Applications: Closed-cell neoprene.
 - 4. Edge Seals, Low-Leakage Applications: Use inflatable blade edging or replaceable rubber blade seals and spring-loaded stainless-steel side seals, rated for leakage at less than 10 cfm per sq. ft. of damper area, at differential pressure of 4-inch wg when damper is held by torque of 50 in. x lbf; when tested according to AMCA 500D.

2.9 CONTROL CABLE

A. Electronic and fiber-optic cables for control wiring are specified in Division 16 Section "Voice and Data Communication Cabling."

PART 3 - EXECUTION

3.1 EXAMINATION

A. Verify that conditioned power supply is available to control units and operator workstation.

3.2 INSTALLATION

- A. Install software in control units and operator workstation(s). Implement all features of programs to specified requirements and as appropriate to sequence of operation.
- B. Connect and configure equipment and software to achieve sequence of operation specified.
- C. Verify location of thermostats, humidistats, and other exposed control sensors with Drawings and room details before installation. Install devices 48 inches above the floor.
 - 1. Install averaging elements in ducts and plenums in crossing or zigzag pattern.
- D. Install guards on thermostats in the following locations:
 - Entrances.
 - 2. Public areas.
 - Where indicated.
- E. Install automatic dampers according to Division 15 Section "Duct Accessories."
- F. Install damper motors on outside of duct in warm areas, not in locations exposed to outdoor temperatures.
- G. Install labels and nameplates to identify control components according to Division 15 Section "Mechanical Identification."
- H. Install hydronic instrument wells, valves, and other accessories according to Division 15 Section "Hydronic Piping."
- I. Install refrigerant instrument wells, valves, and other accessories according to Division 15 Section "Refrigerant Piping."
- J. Install duct volume-control dampers according to Division 15 Sections specifying air ducts.
- K. Install electronic and fiber-optic cables according to Division 16 Section "Voice and Data Communication Cabling."

3.3 ELECTRICAL WIRING AND CONNECTION INSTALLATION

- A. Install raceways, boxes, and cabinets according to Division 16 Section "Raceways and Boxes."
- B. Install building wire and cable according to Division 16 Section "Conductors and Cables."
- C. Install signal and communication cable according to Division 16 Section "Voice and Data Communication Cabling."
 - 1. Conceal cable, except in mechanical rooms and areas where other conduit and piping are exposed.
 - Install exposed cable in raceway.
 - 3. Install concealed cable in raceway.
 - 4. Bundle and harness multiconductor instrument cable in place of single cables where several cables follow a common path.
 - 5. Fasten flexible conductors, bridging cabinets and doors, along hinge side; protect against abrasion. Tie and support conductors.
 - 6. Number-code or color-code conductors for future identification and service of control system, except local individual room control cables.
 - 7. Install wire and cable with sufficient slack and flexible connections to allow for vibration of piping and equipment.
- D. Connect manual-reset limit controls independent of manual-control switch positions. Automatic duct heater resets may be connected in interlock circuit of power controllers.
- E. Connect hand-off-auto selector switches to override automatic interlock controls when switch is in hand position.

3.4 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including connections, and to assist in field testing. Report results in writing.
- B. Perform the following field tests and inspections and prepare test reports:
 - 1. Operational Test: After electrical circuitry has been energized, start units to confirm proper unit operation. Remove and replace malfunctioning units and retest.
 - 2. Test and adjust controls and safeties.
 - 3. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.
 - 4. Pressure test control air piping at 30 psig or 1.5 times the operating pressure for 24 hours, with maximum 5-psig loss.
 - 5. Pressure test high-pressure control air piping at 150 psig and low-pressure control air piping at 30 psig for 2 hours, with maximum 1-psig loss.

- 6. Test calibration of electronic controllers by disconnecting input sensors and stimulating operation with compatible signal generator.
- 7. Test each point through its full operating range to verify that safety and operating control set points are as required.
- 8. Test each control loop to verify stable mode of operation and compliance with sequence of operation. Adjust PID actions.
- 9. Test each system for compliance with sequence of operation.
- 10. Test software and hardware interlocks.

C. DDC Verification:

- 1. Verify that instruments are installed before calibration, testing, and loop or leak checks.
- 2. Check instruments for proper location and accessibility.
- 3. Check instrument installation for direction of flow, elevation, orientation, insertion depth, and other applicable considerations.
- 4. Check instrument tubing for proper fittings, slope, material, and support.
- 5. Check installation of air supply for each instrument.
- 6. Check flow instruments. Inspect tag number and line and bore size, and verify that inlet side is identified and that meters are installed correctly.
- 7. Check pressure instruments, piping slope, installation of valve manifold, and self-contained pressure regulators.
- 8. Check temperature instruments and material and length of sensing elements.
- 9. Check control valves. Verify that they are in correct direction.
- 10. Check air-operated dampers. Verify that pressure gages are provided and that proper blade alignment, either parallel or opposed, has been provided.
- 11. Check DDC system as follows:
 - a. Verify that DDC controller power supply is from emergency power supply, if applicable.
 - b. Verify that wires at control panels are tagged with their service designation and approved tagging system.
 - c. Verify that spare I/O capacity has been provided.
 - d. Verify that DDC controllers are protected from power supply surges.
- D. Replace damaged or malfunctioning controls and equipment and repeat testing procedures.

3.5 ADJUSTING

- A. Calibrating and Adjusting:
 - 1. Calibrate instruments.
 - 2. Make three-point calibration test for both linearity and accuracy for each analog instrument.
 - 3. Calibrate equipment and procedures using manufacturer's written recommendations and instruction manuals. Use test equipment with accuracy at least double that of instrument being calibrated.
 - 4. Control System Inputs and Outputs:

- a. Check analog inputs at 0, 50, and 100 percent of span.
- b. Check analog outputs using milliampere meter at 0, 50, and 100 percent output.
- c. Check digital inputs using jumper wire.
- d. Check digital outputs using ohmmeter to test for contact making or breaking.
- e. Check resistance temperature inputs at 0, 50, and 100 percent of span using a precision-resistant source.

5. Flow:

- a. Set differential pressure flow transmitters for 0 and 100 percent values with 3-point calibration accomplished at 50, 90, and 100 percent of span.
- b. Manually operate flow switches to verify that they make or break contact.

6. Pressure:

- a. Calibrate pressure transmitters at 0, 50, and 100 percent of span.
- b. Calibrate pressure switches to make or break contacts, with adjustable differential set at minimum.

7. Temperature:

- a. Calibrate resistance temperature transmitters at 0, 50, and 100 percent of span using a precision-resistance source.
- b. Calibrate temperature switches to make or break contacts.
- 8. Stroke and adjust control valves and dampers without positioners, following the manufacturer's recommended procedure, so that valve or damper is 100 percent open and closed.
- 9. Stroke and adjust control valves and dampers with positioners, following manufacturer's recommended procedure, so that valve and damper is 0, 50, and 100 percent closed.
- 10. Provide diagnostic and test instruments for calibration and adjustment of system.
- Provide written description of procedures and equipment for calibrating each type of instrument. Submit procedures review and approval before initiating startup procedures.
- B. Adjust initial temperature and humidity set points.
- C. Occupancy Adjustments: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to three visits to Project during other than normal occupancy hours for this purpose.

3.6 DEMONSTRATION

A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain HVAC instrumentation and controls. Refer to Division 1 Section "Demonstration and Training."

END OF SECTION 15900

SECTION 15950 - TESTING, ADJUSTING, AND BALANCING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes TAB to produce design objectives for the following:
 - 1. Air Systems:
 - a. Constant-volume air systems.
 - 2. HVAC equipment quantitative-performance settings.
 - 3. Existing systems TAB.
 - 4. Verifying that automatic control devices are functioning properly.
 - 5. Reporting results of activities and procedures specified in this Section.

1.3 DEFINITIONS

- A. Adjust: To regulate fluid flow rate and air patterns at the terminal equipment, such as to reduce fan speed or adjust a damper.
- B. Balance: To proportion flows within the distribution system, including submains, branches, and terminals, according to indicated quantities.
- C. Barrier or Boundary: Construction, either vertical or horizontal, such as walls, floors, and ceilings that are designed and constructed to restrict the movement of airflow, smoke, odors, and other pollutants.
- D. Draft: A current of air, when referring to localized effect caused by one or more factors of high air velocity, low ambient temperature, or direction of airflow, whereby more heat is withdrawn from a person's skin than is normally dissipated.
- E. NC: Noise criteria.
- F. Procedure: An approach to and execution of a sequence of work operations to yield repeatable results.
- G. Report Forms: Test data sheets for recording test data in logical order.
- H. TAB: Testing, adjusting, and balancing.

- I. Terminal: A point where the controlled medium, such as fluid or energy, enters or leaves the distribution system.
- J. Test: A procedure to determine quantitative performance of systems or equipment.
- K. Testing, Adjusting, and Balancing (TAB) Firm: The entity responsible for performing and reporting TAB procedures.

1.4 SUBMITTALS

- A. Qualification Data: Within 15 days from Contractor's Notice to Proceed, submit 4 copies of evidence that TAB firm and this Project's TAB team members meet the qualifications specified in "Quality Assurance" Article.
- B. Certified TAB Reports: Submit two copies of reports prepared, as specified in this Section, on approved forms certified by TAB firm.
- C. Sample Report Forms: Submit two sets of sample TAB report forms.
- D. Warranties specified in this Section.

1.5 QUALITY ASSURANCE

- A. TAB Firm Qualifications: Engage a TAB firm certified by AABC or NEBB.
- B. Instrumentation Type, Quantity, and Accuracy: As described in AABC's "National Standards for Testing and Balancing Heating, Ventilating, and Air Conditioning Systems or NEBB's "Procedural Standards for Testing, Adjusting, and Balancing of Environmental Systems," Section II, "Required Instrumentation for NEBB Certification."
- C. Instrumentation Calibration: Calibrate instruments at least every six months or more frequently if required by instrument manufacturer.
 - 1. Keep an updated record of instrument calibration that indicates date of calibration and the name of party performing instrument calibration.

D. Approved TAB agencies:

- 1. Bonneville Test and Balance.
- 2. BTC Services.
- Certified Test and Balance.
- 4. Danis Test and Balance.
- 5. Intermountain Test and Balance.
- 6. RS Analysis.
- 7. Technical Specialties.
- 8. Testing and Balancing, Inc.

1.6 PROJECT CONDITIONS

A. Full Owner Occupancy: Owner will occupy the site and existing building during entire TAB period. Cooperate with Owner during TAB operations to minimize conflicts with Owner's operations.

1.7 COORDINATION

- A. Coordinate the efforts of factory-authorized service representatives for systems and equipment, HVAC controls installers, and other mechanics to operate HVAC systems and equipment to support and assist TAB activities.
- B. Notice: Provide seven days' advance notice for each test. Include scheduled test dates and times.
- C. Perform TAB after leakage and pressure tests on air and water distribution systems have been satisfactorily completed.

1.8 WARRANTY

- A. National Project Performance Guarantee: Provide a guarantee on AABC's "National Standards for Testing and Balancing Heating, Ventilating, and Air Conditioning Systems" forms stating that AABC will assist in completing requirements of the Contract Documents if TAB firm fails to comply with the Contract Documents. Guarantee includes the following provisions:
 - 1. The certified TAB firm has tested and balanced systems according to the Contract Documents.
 - 2. Systems are balanced to optimum performance capabilities within design and installation limits.

PART 2 - PRODUCTS

(Not Applicable)

PART 3 - EXECUTION

3.1 GENERAL PROCEDURES FOR TESTING AND BALANCING

- A. Perform testing and balancing procedures on each system according to the procedures contained in AABC's "National Standards for Testing and Balancing Heating, Ventilating, and Air Conditioning Systems" or NEBB's "Procedural Standards for Testing, Adjusting, and Balancing of Environmental Systems" and this Section.
- B. Cut insulation, ducts, pipes, and equipment cabinets for installation of test probes to the minimum extent necessary to allow adequate performance of procedures. After testing and balancing, close probe holes and patch insulation with new materials

- identical to those removed. Restore vapor barrier and finish according to insulation Specifications for this Project.
- C. Mark equipment and balancing device settings with paint or other suitable, permanent identification material, including damper-control positions, valve position indicators, fanspeed-control levers, and similar controls and devices, to show final settings.
- D. Take and report testing and balancing measurements in inch-pound (IP) units.

3.2 GENERAL PROCEDURES FOR BALANCING AIR SYSTEMS

- A. Prepare test reports for both fans and outlets. Obtain manufacturer's outlet factors and recommended testing procedures. Crosscheck the summation of required outlet volumes with required fan volumes.
- B. Prepare schematic diagrams of systems' "as-built" duct layouts.
- C. For variable-air-volume systems, develop a plan to simulate diversity.
- D. Determine the best locations in main and branch ducts for accurate duct airflow measurements.
- E. Check airflow patterns from the outside-air louvers and dampers and the return- and exhaust-air dampers, through the supply-fan discharge and mixing dampers.
- F. Locate start-stop and disconnect switches, electrical interlocks, and motor starters.
- G. Verify that motor starters are equipped with properly sized thermal protection.
- H. Check dampers for proper position to achieve desired airflow path.
- Check for airflow blockages.
- J. Check condensate drains for proper connections and functioning.
- K. Check for proper sealing of air-handling unit components.
- L. Check for proper sealing of air duct system.

3.3 PROCEDURES FOR CONSTANT-VOLUME AIR SYSTEMS

- A. Adjust fans to deliver total indicated airflows within the maximum allowable fan speed listed by fan manufacturer.
 - 1. Measure fan static pressures to determine actual static pressure as follows:
 - a. Measure outlet static pressure as far downstream from the fan as practicable and upstream from restrictions in ducts such as elbows and transitions.

- b. Measure static pressure directly at the fan outlet or through the flexible connection.
- c. Measure inlet static pressure of single-inlet fans in the inlet duct as near the fan as possible, upstream from flexible connection and downstream from duct restrictions.
- d. Measure inlet static pressure of double-inlet fans through the wall of the plenum that houses the fan.
- 2. Measure static pressure across each component that makes up an air-handling unit, rooftop unit, and other air-handling and -treating equipment.
 - a. Simulate dirty filter operation and record the point at which maintenance personnel must change filters.
- 3. Measure static pressures entering and leaving other devices such as sound traps, heat recovery equipment, and air washers, under final balanced conditions.
- 4. Compare design data with installed conditions to determine variations in design static pressures versus actual static pressures. Compare actual system effect factors with calculated system effect factors to identify where variations occur. Recommend corrective action to align design and actual conditions.
- 5. Obtain approval from Architect for adjustment of fan speed higher or lower than indicated speed. Make required adjustments to pulley sizes, motor sizes, and electrical connections to accommodate fan-speed changes.
- 6. Do not make fan-speed adjustments that result in motor overload. Consult equipment manufacturers about fan-speed safety factors. Modulate dampers and measure fan-motor amperage to ensure that no overload will occur. Measure amperage in full cooling, full heating, economizer, and any other operating modes to determine the maximum required brake horsepower.
- B. Adjust volume dampers for main duct, submain ducts, and major branch ducts to indicated airflows within specified tolerances.
 - 1. Measure static pressure at a point downstream from the balancing damper and adjust volume dampers until the proper static pressure is achieved.
 - a. Where sufficient space in submain and branch ducts is unavailable for Pitot-tube traverse measurements, measure airflow at terminal outlets and inlets and calculate the total airflow for that zone.
 - 2. Remeasure each submain and branch duct after all have been adjusted. Continue to adjust submain and branch ducts to indicated airflows within specified tolerances.
- C. Measure terminal outlets and inlets without making adjustments.
 - 1. Measure terminal outlets using a direct-reading hood or outlet manufacturer's written instructions and calculating factors.

- D. Adjust terminal outlets and inlets for each space to indicated airflows within specified tolerances of indicated values. Make adjustments using volume dampers rather than extractors and the dampers at air terminals.
 - 1. Adjust each outlet in same room or space to within specified tolerances of indicated quantities without generating noise levels above the limitations prescribed by the Contract Documents.
 - 2. Adjust patterns of adjustable outlets for proper distribution without drafts.

3.4 PROCEDURES FOR VARIABLE-AIR-VOLUME SYSTEMS

- A. Compensating for Diversity: When the total airflow of all terminal units is more than the indicated airflow of the fan, place a selected number of terminal units at a maximum set-point airflow condition until the total airflow of the terminal units equals the indicated airflow of the fan. Select the reduced airflow terminal units so they are distributed evenly among the branch ducts.
- B. Pressure-Independent, Variable-Air-Volume Systems: After the fan systems have been adjusted, adjust the variable-air-volume systems as follows:
 - 1. Set outside-air dampers at minimum, and return- and exhaust-air dampers at a position that simulates full-cooling load.
 - 2. Select the terminal unit that is most critical to the supply-fan airflow and static pressure. Measure static pressure. Adjust system static pressure so the entering static pressure for the critical terminal unit is not less than the sum of terminal-unit manufacturer's recommended minimum inlet static pressure plus the static pressure needed to overcome terminal-unit discharge system losses.
 - 3. Measure total system airflow. Adjust to within indicated airflow.
 - 4. Set terminal units at maximum airflow and adjust controller or regulator to deliver the designed maximum airflow. Use terminal-unit manufacturer's written instructions to make this adjustment. When total airflow is correct, balance the air outlets downstream from terminal units as described for constant-volume air systems.
 - 5. Set terminal units at minimum airflow and adjust controller or regulator to deliver the designed minimum airflow. Check air outlets for a proportional reduction in airflow as described for constant-volume air systems.
 - a. If air outlets are out of balance at minimum airflow, report the condition but leave outlets balanced for maximum airflow.
 - 6. Remeasure the return airflow to the fan while operating at maximum return airflow and minimum outside airflow. Adjust the fan and balance the return-air ducts and inlets as described for constant-volume air systems.
 - 7. Measure static pressure at the most critical terminal unit and adjust the staticpressure controller at the main supply-air sensing station to ensure that adequate static pressure is maintained at the most critical unit.
 - 8. Record the final fan performance data.

3.5 GENERAL PROCEDURES FOR HYDRONIC SYSTEMS

- A. Prepare test reports with pertinent design data and number in sequence starting at pump to end of system. Check the sum of branch-circuit flows against approved pump flow rate. Correct variations that exceed plus or minus 5 percent.
- B. Prepare schematic diagrams of systems' "as-built" piping layouts.
- C. Prepare hydronic systems for testing and balancing according to the following, in addition to the general preparation procedures specified above:
 - 1. Open all manual valves for maximum flow.
 - 2. Check expansion tank liquid level.
 - 3. Check makeup-water-station pressure gage for adequate pressure for highest vent
 - 4. Check flow-control valves for specified sequence of operation and set at indicated flow.
 - 5. Set differential-pressure control valves at the specified differential pressure. Do not set at fully closed position when pump is positive-displacement type unless several terminal valves are kept open.
 - 6. Set system controls so automatic valves are wide open to heat exchangers.
 - 7. Check pump-motor load. If motor is overloaded, throttle main flow-balancing device so motor nameplate rating is not exceeded.
 - 8. Check air vents for a forceful liquid flow exiting from vents when manually operated.

3.6 PROCEDURES FOR MOTORS

- A. Motors, 1/2 HP and Larger: Test at final balanced conditions and record the following data:
 - 1. Manufacturer, model, and serial numbers.
 - 2. Motor horsepower rating.
 - 3. Motor rpm.
 - 4. Efficiency rating.
 - 5. Nameplate and measured voltage, each phase.
 - 6. Nameplate and measured amperage, each phase.
 - 7. Starter thermal-protection-element rating.
- B. Motors Driven by Variable-Frequency Controllers: Test for proper operation at speeds varying from minimum to maximum. Test the manual bypass for the controller to prove proper operation. Record observations, including controller manufacturer, model and serial numbers, and nameplate data.

3.7 PROCEDURES FOR CONDENSING UNITS

- A. Verify proper rotation of fans.
- B. Measure entering- and leaving-air temperatures.

C. Record compressor data.

3.8 TEMPERATURE-CONTROL VERIFICATION

- A. Verify that controllers are calibrated and commissioned.
- B. Check transmitter and controller locations and note conditions that would adversely affect control functions.
- C. Record controller settings and note variances between set points and actual measurements.
- D. Check the operation of limiting controllers (i.e., high- and low-temperature controllers).
- E. Check free travel and proper operation of control devices such as damper and valve operators.
- F. Check the sequence of operation of control devices. Note air pressures and device positions and correlate with airflow and water flow measurements. Note the speed of response to input changes.
- G. Check the interaction of electrically operated switch transducers.
- H. Check the interaction of interlock and lockout systems.
- I. Check main control supply-air pressure and observe compressor and dryer operations.
- J. Record voltages of power supply and controller output. Determine whether the system operates on a grounded or nongrounded power supply.
- K. Note operation of electric actuators using spring return for proper fail-safe operations.

3.9 TOLERANCES

- A. Set HVAC system airflow and water flow rates within the following tolerances:
 - 1. Supply, Return, and Exhaust Fans and Equipment with Fans: Plus 5 to plus 10 percent.
 - 2. Air Outlets and Inlets: 0 to minus 10 percent.
 - 3. Heating-Water Flow Rate: 0 to minus 10 percent.
 - 4. Cooling-Water Flow Rate: 0 to minus 5 percent.

3.10 REPORTING

A. Initial Construction-Phase Report: Based on examination of the Contract Documents as specified in "Examination" Article, prepare a report on the adequacy of design for systems' balancing devices. Recommend changes and additions to systems' balancing devices to facilitate proper performance measuring and balancing.

Recommend changes and additions to HVAC systems and general construction to allow access for performance measuring and balancing devices.

B. Status Reports: As Work progresses, prepare reports to describe completed procedures, procedures in progress, and scheduled procedures. Include a list of deficiencies and problems found in systems being tested and balanced. Prepare a separate report for each system and each building floor for systems serving multiple floors.

3:11 INSPECTIONS

A. Initial Inspection:

- 1. After testing and balancing are complete, operate each system and randomly check measurements to verify that the system is operating according to the final test and balance readings documented in the Final Report.
- 2. Randomly check the following for each system:
 - a. Measure airflow of at least 10 percent of air outlets.
 - b. Measure water flow of at least 5 percent of terminals.
 - c. Measure room temperature at each thermostat/temperature sensor. Compare the reading to the set point.
 - d. Measure sound levels at two locations.
 - e. Measure space pressure of at least 10 percent of locations.
 - f. Verify that balancing devices are marked with final balance position.
 - g. Note deviations to the Contract Documents in the Final Report.

B. Final Inspection:

- 1. After initial inspection is complete and evidence by random checks verifies that testing and balancing are complete and accurately documented in the final report, request that a final inspection be made by Architect.
- 2. TAB firm test and balance engineer shall conduct the inspection in the presence of Architect.
- 3. Architect shall randomly select measurements documented in the final report to be rechecked. The rechecking shall be limited to either 10 percent of the total measurements recorded, or the extent of measurements that can be accomplished in a normal 8-hour business day.
- 4. If the rechecks yield measurements that differ from the measurements documented in the final report by more than the tolerances allowed, the measurements shall be noted as "FAILED."
- 5. If the number of "FAILED" measurements is greater than 10 percent of the total measurements checked during the final inspection, the testing and balancing shall be considered incomplete and shall be rejected.
- 6. TAB firm shall recheck all measurements and make adjustments. Revise the final report and balancing device settings to include all changes and resubmit the final report.
- 7. Request a second final inspection. If the second final inspection also fails, Owner shall contract the services of another TAB firm to complete the testing and

balancing in accordance with the Contract Documents and deduct the cost of the services from the final payment.

3.12 ADDITIONAL TESTS

- A. Within 90 days of completing TAB, perform additional testing and balancing to verify that balanced conditions are being maintained throughout and to correct unusual conditions.
- B. Seasonal Periods: If initial TAB procedures were not performed during near-peak summer and winter conditions, perform additional testing, inspecting, and adjusting during near-peak summer and winter conditions.

END OF SECTION 15950